

FRAUD Investigation Report

July – September 2001

**California Department of Social Services
Research and Development Division**



**Data Systems and Survey Design Bureau
March 2002**

Fraud Investigation Report

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Fraud Investigation Report Summary July – September 2001

In California, fraud prevention and detection is an integral part of the overall management of public assistance programs. The fraud prevention program is administered at the local level by 58 county welfare departments. The California Department of Social Services (CDSS) is responsible for developing regulations and disseminating policy information/interpretation to local entities. Within the county welfare departments, the income maintenance units have primary responsibility for determining eligibility and computing grant amounts. If fraud is suspected, the case is referred for investigation to the Special Investigative Unit (SIU). At the discretion of the county, the SIU may be placed in the county welfare department or within another county law enforcement agency such as the District Attorney's or Sheriff's Office. It is the responsibility of the SIU to conduct an investigation of such cases for the purpose of establishing whether or not evidence exists to support a charge of welfare fraud.

The Fraud Investigation Report provides statewide and county-specific fraud activity data on the California Work Opportunity and Responsibility to Kids (CalWORKs) and Food Stamp programs. Investigation activity by the SIUs is reflected in this report. Data shown represents the most current statistics available at the time of publication.

The following four reports are the data sources for this publication, and are available on the CDSS Research and Development Division (RADD) external web site at <http://www.dss.cahwnet.gov/research>.

- DPA 266 - Fraud Investigation Activity Report
(July 2001 dated October 24, 2001; August 2001 dated October 24, 2001; and September 2001 dated December 7, 2001)
- CA 237 CalWORKs - CalWORKs Cash Grant Caseload Movement and Expenditures Report
(July 2001 dated December 1, 2001; August 2001 dated December 4, 2001; and September 2001 dated December 7, 2001)
- CA 237 FC - Aid to Families with Dependent Children (AFDC) Foster Care (FC) Caseload Movement and Expenditures Report
(July 2001 dated October 29, 2001; August 2001 dated January 9, 2002; and September 2001 dated February 5, 2002)
- DFA 256 - Food Stamp Program Participation and Coupon Issuance Report
(July 2001 dated October 31, 2001; August 2001 dated November 1, 2001; and September 2001 dated January 15, 2002)

Unless otherwise stated, each data item in the publication is a quarterly rollup of three months of data from the DPA 266 – Fraud Investigation Activity Report.

CalWORKs Statewide Highlights July – September 2001

Investigation Requests

- 46,108 requests for investigation were **received** by California Special Investigative Units (SIUs) during the quarter, with a monthly average during the quarter of 15,369 investigation requests. The monthly average of investigation requests represents 3% of the 600,667 CalWORKs statewide caseload (monthly average during July - September 2001).
- 44,962 investigation requests were **accepted** for investigation during the quarter. This is 98% of the 46,108 investigation requests received.
 - ▶ 26,861 (60%) were Early Fraud Prevention/Detection Program (EFP/D) requests.
 - ▶ 7,077 (16%) were investigation requests for other reasons including other unreported income, homeless assistance, unreported resources/assets, duplicate/replaced warrants, duplicate aid, forgery, residence, fictitious identity, internal affairs, child care, drug felon, fleeing felon, probation/parole violators, and other miscellaneous elements of fraud.
 - ▶ 6,872 (15%) were Income and Eligibility Verification System (IEVS) requests.
 - ▶ 4,152 (9%) were family (household) composition requests.
- 126,445 investigations were **available** during the quarter.
 - ▶ 81,483 (64%) of the available investigations were **pending** at the beginning of the quarter (carried over from June 2001).
 - ▶ 44,962 (36%) of the available investigations were **accepted** for investigation during the quarter.

Investigations Completed and the Results

- 43,186 investigations were **completed** during the quarter. This is 34% of the 126,445 total investigations available.
 - ▶ 15,342 (36%) of the completed cases had evidence sufficient to support an allegation of fraud.
 - ▶ 27,844 (64%) of the completed cases did not have evidence sufficient to support an allegation of fraud.
- Of the 43,186 completed investigations, the **results** included:
 - ▶ 7,206 (17%) of the cases were denied, reduced or discontinued due to the Early Fraud Prevention/Detection Program.
 - ▶ 3,623 (8%) of the cases had restitution action taken.
 - ▶ 2,191 (5%) of the cases had fraud found, but with no adverse financial impact.
 - ▶ 2,038 (5%) of the cases were reduced or discontinued in non-Early Fraud cases.
 - ▶ 1,571 (4%) of the cases were referred for prosecution.
 - ▶ 620 (1%) of the cases had expiration of statutes.
 - ▶ 316 (1%) of the cases were referred to an Administrative Disqualification Hearing.

Note: Completed investigations could have multiple results. Completed investigations with allegation unfounded, insufficient evidence, and other miscellaneous results included in the 43,186 are not shown in this report.

Prosecution Activity

- 6,374 referrals were **available** to the District Attorney for prosecution during the quarter.
 - ▶ 4,945 (78%) were referrals **pending** at the beginning of the quarter (carried over from June 2001).
 - ▶ 1,429 (22%) were referrals **received** during the quarter.
- Of the 6,374 referrals available to the District Attorney for prosecution consideration during the quarter,
 - ▶ 1,259 **prosecutions** were filed. This is 20% of the available prosecution referrals.
- Of the 1,259 prosecutions filed by the District Attorney during the quarter,
 - ▶ 1,083 **convictions** were obtained. This is 86% of the prosecutions filed.

Overpayments and Collections

- \$6,589,435 **fraud overpayments** were identified during the quarter.
- \$6,140,323 **collections** were made during the quarter.

Food Stamp Statewide Highlights July - September 2001

Investigation Requests

- 59,072 requests for investigation were **received** by California Special Investigative Units (SIUs) during the quarter, with a monthly average during the quarter of 19,691 investigation requests. The monthly average of investigation requests represents 3% of the 645,648 Food Stamp statewide caseload (monthly average during July - September 2001).
- 57,851 investigation requests were **accepted** for investigation during the quarter. This is 98% of the 59,072 investigation requests received.
 - ▶ 34,156 (59%) were Early Fraud Prevention/Detection Program (EFP/D) requests.
 - ▶ 10,154 (17%) were Income and Eligibility Verification System (IEVS) requests.
 - ▶ 9,078 (16%) were investigation requests for other reasons including other unreported income, unreported resources/assets, duplicate/replaced Food Stamps, duplicate aid, forgery, residence, fictitious identity, Food Stamp trafficking, internal affairs, child care, drug felon, fleeing felon, probation/parole violators, and other miscellaneous elements of fraud.
 - ▶ 4,463 (8%) were family (household) composition requests.
- 157,036 investigations were **available** during the quarter.
 - ▶ 99,185 (63%) of the available investigations were **pending** at the beginning of the quarter (carried over from June 2001).
 - ▶ 57,851 (37%) of the available investigations were **accepted** for investigation during the quarter.

Investigations Completed and the Results

- 54,820 investigations were **completed** during the quarter. This is 35% of the 157,036 total investigations available.
 - ▶ 19,415 (35%) of the completed cases had evidence sufficient to support an allegation of fraud.
 - ▶ 35,405 (65%) of the completed cases did not have evidence sufficient to support an allegation of fraud.
- Of the 54,820 completed investigations, the **results** included:
 - ▶ 9,569 (17%) of the cases were denied, reduced or discontinued due to the Early Fraud Prevention/Detection Program.
 - ▶ 4,346 (8%) of the cases had restitution action taken.
 - ▶ 2,704 (5%) of the cases were reduced or discontinued in non-Early Fraud cases.
 - ▶ 2,368 (4%) of the cases had fraud found, but with no adverse financial impact.
 - ▶ 1,768 (3%) of the cases were referred for prosecution.
 - ▶ 604 (1%) of the cases had expiration of statutes.
 - ▶ 341 (1%) of the cases were referred to an Administrative Disqualification Hearing.

Note: Completed investigations could have multiple results. Completed investigations with allegation unfounded, insufficient evidence, and other miscellaneous results included in the 54,820 are not shown in this report.

Prosecution Activity

- 6,236 referrals were **available** to the District Attorney for prosecution during the quarter.
 - ▶ 4,616 (74%) were referrals **pending** at the beginning of the quarter (carried over from June 2001).
 - ▶ 1,620 (26%) were referrals **received** during the quarter.
- Of the 6,236 referrals available to the District Attorney for prosecution consideration during the quarter,
 - ▶ 1,336 **prosecutions** were filed. This is 21% of the available prosecution referrals.
- Of the 1,336 prosecutions files by the District Attorney during the quarter,
 - ▶ 1,123 **convictions** were obtained. This is 84% of the prosecutions filed.

Overissuances and Collections

- \$6,253,535 **fraud overissuances** were identified during the quarter.
- \$2,095,794 **collections** were made during the quarter.

Welfare Fraud Special Investigative Units**July – September 2001**

Below is a listing of the locations of each county's Welfare Fraud Special Investigative Unit(s).

County	County Welfare Department	District Attorney's Office	County Welfare Department and District Attorney's Office	Sheriff's Office
Statewide	28	19	9	2
Alameda			✓	
Alpine	✓			
Amador	✓			
Butte		✓		
Calaveras			✓	
Colusa		✓		
Contra Costa			✓	
Del Norte	✓			
El Dorado	✓			
Fresno		✓		
Glenn	✓			
Humboldt	✓			
Imperial		✓		
Inyo		✓		
Kern		✓		
Kings	✓			
Lake				✓
Lassen				✓
Los Angeles			✓	
Madera		✓		
Marin	✓			
Mariposa	✓			
Mendocino	✓			
Merced			✓	
Modoc		✓		
Mono		✓		
Monterey			✓	
Napa	✓			
Nevada	✓			
Orange		✓		
Placer	✓			
Plumas	✓			
Riverside	✓			
Sacramento	✓			
San Benito	✓			
San Bernardino	✓			
San Diego		✓		
San Francisco		✓		
San Joaquin			✓	
San Luis Obispo	✓			
San Mateo	✓			
Santa Barbara		✓		
Santa Clara		✓		
Santa Cruz	✓			
Shasta		✓		
Sierra	✓			
Siskiyou		✓		
Solano	✓			
Sonoma	✓			
Stanislaus	✓			
Sutter			✓	
Tehama		✓		
Trinity	✓			
Tulare		✓		
Tuolumne	✓			
Ventura		✓		
Yolo			✓	
Yuba	✓			

CaIWORKs

Tables

TABLE 1
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
FRAUD INVESTIGATIONS BY SPECIAL INVESTIGATIVE UNITS
July – September 2001

County	CalWORKs Caseload ^{a/}	Investigation Requests		Investigations Completed	Evidence to Support an Allegation of Fraud		Investigations Pending End of Quarter ^{b/}
		Received	Accepted		Sufficient	Not Sufficient	
Statewide	600,667	46,108	44,962	43,186	15,342	27,844	83,098
Alameda	21,626	1,115	1,110	1,274	779	495	1,134
Alpine	29	5	5	9	2	7	0
Amador	281	63	63	23	10	13	187
Butte	5,218	415	311	773	196	577	473
Calaveras	539	206	206	206	38	168	3
Colusa	239	5	5	17	2	15	77
Contra Costa	10,047	430	404	404	210	194	461
Del Norte	929	52	52	23	6	17	88
El Dorado	1,226	108	108	134	81	53	352
Fresno	26,088	1,128	1,067	1,579	702	877	3,785
Glenn	558	196	196	186	48	138	117
Humboldt	2,631	118	118	121	39	82	219
Imperial	4,496	253	253	197	178	19	425
Inyo	198	2	2	11	11	0	10
Kern	18,900	4,081	4,031	3,779	1,289	2,490	1,360
Kings	2,627	667	667	693	147	546	374
Lake	1,866	97	97	107	61	46	85
Lassen	591	29	29	18	7	11	39
Los Angeles	231,305	9,596	9,317	8,194	2,881	5,313	42,351
Madera	3,236	568	568	589	65	524	46
Marin	855	35	35	50	37	13	163
Mariposa	200	2	2	0	0	0	37
Mendocino	1,919	144	144	91	55	36	224
Merced	6,338	647	647	707	161	546	408
Modoc	230	30	30	18	3	15	24
Mono	66	1	1	1	1	0	0
Monterey	4,677	185	179	160	58	102	2,093
Napa	557	30	30	47	24	23	230
Nevada	638	37	29	31	18	13	43
Orange	22,137	2,594	2,503	2,246	1,233	1,013	3,446
Placer	1,621	73	73	78	42	36	223
Plumas	235	23	23	13	8	5	13
Riverside	23,535	5,799	5,797	5,790	1,081	4,709	1,360
Sacramento	35,673	1,674	1,497	1,368	638	730	4,755
San Benito	550	38	38	35	10	25	34
San Bernardino	41,851	1,893	1,869	1,876	546	1,330	1,578
San Diego	32,668	5,783	5,512	5,074	1,458	3,616	3,002
San Francisco	8,325	501	501	443	286	157	1,518
San Joaquin	14,375	200	200	182	119	63	347
San Luis Obispo	1,910	202	202	174	72	102	170
San Mateo	1,959	155	155	150	85	65	114
Santa Barbara	3,916	210	198	231	88	143	217
Santa Clara	12,543	1,130	1,130	448	344	104	3,229
Santa Cruz	1,956	497	495	510	132	378	30
Shasta	4,139	253	253	302	167	135	797
Sierra	33	1	1	1	0	1	6
Siskiyou	965	265	265	253	110	143	35
Solano	4,705	890	884	829	387	442	1,388
Sonoma	2,840	232	232	282	161	121	1,583
Stanislaus	9,455	713	701	724	481	243	1,039
Sutter	1,294	270	269	253	94	159	155
Tehama	1,429	80	80	82	27	55	640
Trinity	262	84	83	88	39	49	64
Tulare	12,203	1,311	1,308	1,362	224	1,138	1,845
Tuolumne	980	251	246	218	89	129	159
Ventura	6,065	153	153	133	56	77	125
Yolo	2,637	174	174	159	53	106	370
Yuba	2,298	414	414	440	203	237	48

a/ Effective with the October 1999 report month, the source form (CA 237 CalWORKs) was changed to collect families data from Two Parent Families, Zero Parent Families and All Other Families. Foster Care caseload data from the CA 237 FC is also included. The data is a monthly average for the quarter. NOTE: County numbers do not add to Statewide total due to rounding.

b/ Data is from DPA 266 September 2001 Item 7 Investigations pending at end of month. The investigations pending numbers include county case adjustments that occurred during the quarter. NOTE: The statewide total of Investigations pending at the *beginning* of the quarter, from DPA 266 July 2001 Item 4, was 81,483.

TABLE 2
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
REQUESTS ACCEPTED FOR INVESTIGATION BY PRIMARY ELEMENT OF FRAUD
July – September 2001

County	Early Fraud Prevention/ Detection	Income and Eligibility Verification System	Family (Household) Composition	Other Unreported Income	Residence	Unreported Resources/ Assets	Other ^{a/}
Statewide	26,861	6,872	4,152	1,844	844	602	3,787
Alameda	384	278	154	92	66	1	135
Alpine	4	0	1	0	0	0	0
Amador	0	8	5	14	2	0	34
Butte	46	37	78	37	11	4	98
Calaveras	137	16	17	3	15	2	16
Colusa	0	0	4	0	0	0	1
Contra Costa	261	95	4	7	0	7	30
Del Norte	15	0	14	8	2	3	10
El Dorado	24	9	29	20	7	3	16
Fresno	412	179	184	150	22	7	113
Glenn	58	10	50	31	30	4	13
Humboldt	58	1	28	8	2	1	20
Imperial	149	2	45	14	27	7	9
Inyo	1	0	1	0	0	0	0
Kern	1,925	2	561	97	53	22	1,371
Kings	534	0	50	27	16	0	40
Lake	3	1	49	11	10	2	21
Lassen	7	0	12	1	5	1	3
Los Angeles	5,063	3,457	168	119	0	156	354
Madera	462	47	31	9	1	1	17
Marin	2	10	11	7	0	2	3
Mariposa	1	0	0	1	0	0	0
Mendocino	3	62	20	26	4	25	4
Merced	365	49	109	49	21	12	42
Modoc	4	1	6	7	3	2	7
Mono	0	0	0	0	0	0	1
Monterey	108	21	21	5	11	4	9
Napa	1	1	8	10	1	2	7
Nevada	4	1	15	4	4	0	1
Orange	2,142	148	38	89	0	6	80
Placer	4	0	24	18	13	6	8
Plumas	9	8	5	1	0	0	0
Riverside	4,873	218	262	85	18	33	308
Sacramento	1,044	181	71	47	11	22	121
San Benito	22	0	1	0	1	0	14
San Bernardino	127	20	933	216	284	96	193
San Diego	4,805	393	101	109	26	6	72
San Francisco	114	311	8	14	2	2	50
San Joaquin	134	31	7	8	0	1	19
San Luis Obispo	50	33	41	18	7	14	39
San Mateo	23	20	44	46	4	1	17
Santa Barbara	49	13	43	39	9	1	44
Santa Clara	373	454	84	116	0	4	99
Santa Cruz	288	169	7	7	1	22	1
Shasta	76	10	110	24	22	2	9
Sierra	0	0	0	1	0	0	0
Siskiyou	72	4	52	9	30	83	15
Solano	601	132	61	40	18	6	26
Sonoma	61	85	39	8	3	3	33
Stanislaus	121	230	258	36	19	0	37
Sutter	161	4	28	15	9	0	52
Tehama	17	0	21	20	6	4	12
Trinity	22	29	12	8	3	8	1
Tulare	973	54	143	57	13	8	60
Tuolumne	208	2	21	3	4	0	8
Ventura	135	2	1	10	0	0	5
Yolo	100	10	19	21	4	2	18
Yuba	226	24	43	22	24	4	71

a/ "Other" includes homeless assistance, duplicate warrants, duplicate (multiple) aid, forgery, fictitious identity, internal affairs, child care, drug felon, fleeing felon, probation/parole violators, and other miscellaneous elements of fraud.

TABLE 3
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
INVESTIGATION RESULTS^{a/}
July – September 2001

County	Early Fraud (Denials/Benefits Reduced/ Discontinuances)	Referred for		Restitution Action	Benefits Reduced or Discontinued (Non-Early Fraud)	Fraud Found, No Adverse Financial Impact	Expiration of Statutes
		Prosecution	Administrative Disqualification Hearing				
Statewide	7,206	1,571	316	3,623	2,038	2,191	620
Alameda	275	379	0	70	1	54	13
Alpine	0	0	0	0	1	0	0
Amador	1	0	0	3	6	0	0
Butte	47	20	1	51	79	4	51
Calaveras	17	2	0	0	17	2	0
Colusa	0	2	0	0	0	0	0
Contra Costa	49	18	8	53	0	80	0
Del Norte	2	0	0	0	4	0	0
El Dorado	7	1	0	22	4	47	1
Fresno	199	87	164	88	159	5	345
Glenn	11	2	0	7	23	5	0
Humboldt	17	2	0	8	15	7	0
Imperial	12	3	0	5	14	6	0
Inyo	0	0	0	0	0	11	0
Kern	717	22	1	46	278	225	2
Kings	114	6	0	2	20	5	0
Lake	3	12	0	26	29	10	0
Lassen	3	0	0	0	4	0	0
Los Angeles	1,436	288	0	851	55	919	0
Madera	12	11	0	18	22	2	0
Marin	1	1	0	19	8	13	0
Mariposa	0	0	0	0	0	0	0
Mendocino	0	5	0	35	5	10	1
Merced	37	38	0	33	90	9	0
Modoc	1	1	0	0	0	1	0
Mono	0	1	0	0	0	0	0
Monterey	32	5	0	7	4	10	3
Napa	4	2	0	7	5	6	1
Nevada	0	7	0	4	5	2	0
Orange	788	64	25	186	340	214	0
Placer	2	9	0	6	24	1	0
Plumas	3	1	0	1	2	1	0
Riverside	768	67	0	231	0	11	0
Sacramento	347	76	0	180	32	3	95
San Benito	7	0	0	0	2	1	0
San Bernardino	33	74	10	248	307	72	0
San Diego	898	39	89	470	19	90	0
San Francisco	64	71	0	53	32	0	59
San Joaquin	80	32	0	2	5	4	1
San Luis Obispo	24	4	0	6	33	3	2
San Mateo	26	11	0	23	17	8	0
Santa Barbara	21	14	0	36	33	8	0
Santa Clara	123	63	0	148	10	0	8
Santa Cruz	102	7	0	18	5	0	0
Shasta	49	10	0	7	45	56	0
Sierra	0	0	0	0	0	0	0
Siskiyou	17	1	0	18	42	31	0
Solano	227	28	0	102	2	28	5
Sonoma	98	6	6	149	40	63	2
Stanislaus	75	11	12	280	140	36	0
Sutter	68	1	0	10	0	23	0
Tehama	10	0	0	0	14	3	0
Trinity	8	2	0	4	11	14	0
Tulare	161	31	0	17	0	15	31
Tuolumne	56	0	0	11	12	17	0
Ventura	52	4	0	0	0	0	0
Yolo	14	13	0	12	12	19	0
Yuba	88	17	0	50	11	37	0

a/ This table reflects the outcome of an investigation. In some instances an investigation may have more than one outcome reported. Therefore, the data displayed on this table may not correspond to the numbers on Table 1.

TABLE 4
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
DISTRICT ATTORNEY PROSECUTION ACTIVITY
July – September 2001

County	Referrals Received	Referrals Available ^{a/}	Prosecutions Filed	Decision Made Not to Prosecute
Statewide	1,429	6,374	1,259	212
Alameda	251	666	275	15
Alpine	0	0	0	0
Amador	0	25	0	0
Butte	19	209	2	8
Calaveras	0	0	0	0
Colusa	2	2	2	0
Contra Costa	8	69	2	0
Del Norte	0	50	0	0
El Dorado	1	1	1	0
Fresno	87	150	106	0
Glenn	2	2	2	0
Humboldt	2	5	2	0
Imperial	3	27	3	0
Inyo	0	0	0	0
Kern	22	85	11	5
Kings	6	16	10	1
Lake	41	120	31	12
Lassen	0	2	1	0
Los Angeles	288	591	237	62
Madera	11	11	11	0
Marin	1	4	2	1
Mariposa	0	3	0	0
Mendocino	5	21	5	0
Merced	38	597	33	0
Modoc	1	2	1	0
Mono	2	2	1	0
Monterey	5	46	8	0
Napa	2	36	1	10
Nevada	7	9	0	0
Orange	65	116	41	4
Placer	9	141	5	0
Plumas	1	13	0	0
Riverside	52	101	51	1
Sacramento	76	384	42	9
San Benito	0	1	0	0
San Bernardino	74	494	71	0
San Diego	31	464	84	9
San Francisco	71	160	6	64
San Joaquin	32	86	47	1
San Luis Obispo	4	4	4	0
San Mateo	11	53	10	0
Santa Barbara	16	16	15	1
Santa Clara	63	1,065	28	0
Santa Cruz	7	7	7	0
Shasta	10	21	1	0
Sierra	0	0	0	0
Siskiyou	1	3	1	0
Solano	28	346	26	6
Sonoma	6	21	5	1
Stanislaus	11	11	11	0
Sutter	1	1	1	0
Tehama	0	0	0	0
Trinity	2	5	2	0
Tulare	31	31	29	2
Tuolumne	0	10	3	0
Ventura	3	20	5	0
Yolo	13	13	13	0
Yuba	7	36	4	0

a/ Data is from DPA 266 July 2001 Item 23 Referrals pending beginning of month plus July, August and September 2001's Item 24 Referrals received during the month.

TABLE 5
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
PROSECUTION OUTCOMES^{a/}
July – September 2001

County	Convictions	Administrative Action	Disqualification Consent Agreements	Charge Pleaded	Dismissals	Acquittals	Declinations	Expiration of Statutes
Statewide	1,083	139	105	111	173	1	51	5
Alameda	159	61	61	0	74	0	15	0
Alpine	0	0	0	0	0	0	0	0
Amador	1	0	0	0	0	0	0	0
Butte	4	0	12	0	0	0	0	0
Calaveras	0	0	0	0	0	0	0	0
Colusa	0	0	0	0	0	0	0	0
Contra Costa	10	0	0	10	6	0	2	0
Del Norte	0	0	0	0	0	0	0	0
El Dorado	0	0	0	0	0	0	0	0
Fresno	97	2	0	0	2	0	0	1
Glenn	4	0	1	1	0	0	0	0
Humboldt	3	0	4	0	1	0	0	0
Imperial	3	0	0	0	0	0	0	0
Inyo	0	0	0	0	0	0	0	0
Kern	25	0	0	0	0	0	0	0
Kings	9	0	1	0	2	0	0	0
Lake	0	0	0	6	0	0	0	0
Lassen	0	0	0	0	0	0	0	0
Los Angeles	202	0	0	0	9	0	0	0
Madera	14	0	10	0	0	0	1	0
Marin	3	0	0	0	0	0	1	0
Mariposa	0	0	0	0	0	0	0	0
Mendocino	7	0	0	6	6	1	0	0
Merced	16	0	0	0	7	0	10	0
Modoc	1	0	0	1	0	0	0	0
Mono	0	0	0	0	0	0	0	0
Monterey	0	0	0	1	4	0	0	0
Napa	1	0	0	0	10	0	0	0
Nevada	0	0	0	0	0	0	0	0
Orange	33	0	0	0	0	0	0	0
Placer	4	0	4	0	0	0	0	0
Plumas	0	0	0	0	0	0	0	0
Riverside	49	0	0	83	5	0	0	0
Sacramento	60	0	0	0	0	0	10	0
San Benito	0	0	0	0	0	0	0	0
San Bernardino	83	0	0	0	7	0	0	0
San Diego	80	0	0	0	0	0	0	0
San Francisco	13	64	0	0	4	0	0	0
San Joaquin	17	0	0	0	3	0	1	0
San Luis Obispo	7	0	0	0	0	0	2	0
San Mateo	7	0	0	0	0	0	0	0
Santa Barbara	6	10	1	0	0	0	0	0
Santa Clara	28	0	0	0	0	0	0	0
Santa Cruz	2	0	0	0	1	0	2	0
Shasta	9	0	0	1	1	0	0	0
Sierra	0	0	0	0	0	0	0	0
Siskiyou	0	0	0	0	0	0	0	0
Solano	20	0	0	0	6	0	6	4
Sonoma	6	2	0	0	0	0	1	0
Stanislaus	17	0	0	0	13	0	0	0
Sutter	1	0	0	0	0	0	0	0
Tehama	0	0	0	0	0	0	0	0
Trinity	0	0	1	0	0	0	0	0
Tulare	43	0	0	0	10	0	0	0
Tuolumne	0	0	0	0	0	0	0	0
Ventura	5	0	0	0	0	0	0	0
Yolo	32	0	0	2	2	0	0	0
Yuba	2	0	10	0	0	0	0	0

a/ This table reflects the outcome of a prosecution. In some instances a prosecution may have more than one outcome reported.

TABLE 6
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
FRAUD OVERPAYMENTS AND COLLECTIONS
July – September 2001

County	Fraud Overpayments Identified	Total Collections
Statewide	\$6,589,435	\$6,140,323
Alameda	1,426,729	416,398
Alpine	280	0
Amador	1,394	862
Butte	15,848	19,468
Calaveras	0	1,288
Colusa	11,281	50
Contra Costa	94,834	80,118
Del Norte	0	790
El Dorado	12,085	27,175
Fresno	150,729	199,975
Glenn	23,494	10,918
Humboldt	3,012	22,279
Imperial	22,316	6,901
Inyo	413	1,934
Kern	215,720	153,682
Kings	20,808	54,945
Lake	58,394	7,617
Lassen	735	3,028
Los Angeles	638,607	1,355,594
Madera	66,228	25,471
Marin	7,392	29,374
Mariposa	0	0
Mendocino	64,166	21,351
Merced	46,786	38,666
Modoc	0	0
Mono	0	0
Monterey	29,833	77,197
Napa	45,226	5,764
Nevada	12,684	4,773
Orange	396,320	206,596
Placer	44,293	41,472
Plumas	1,038	4,892
Riverside	228,132	212,044
Sacramento	587,376	517,350
San Benito	12,367	17,805
San Bernardino	347,593	310,692
San Diego	482,612	557,945
San Francisco	126,749	260,160
San Joaquin	149,156	53,988
San Luis Obispo	54,591	20,922
San Mateo	96,379	10,883
Santa Barbara	19,573	80,617
Santa Clara	250,904	475,765
Santa Cruz	15,570	54,424
Shasta	26,808	11,465
Sierra	401	956
Siskiyou	24,000	36,000
Solano	223,521	132,879
Sonoma	121,677	165,926
Stanislaus	178,409	155,996
Sutter	7,609	7,661
Tehama	0	2,481
Trinity	2,450	1,305
Tulare	132,841	0
Tuolumne	7,253	3,860
Ventura	0	130,668
Yolo	26,273	73,864
Yuba	56,546	26,089

Food Stamp

Tables

TABLE 7
Food Stamp Program
FRAUD INVESTIGATIONS BY SPECIAL INVESTIGATIVE UNITS
July – September 2001

County	Participating Households ^{a/}	Investigation Requests		Investigations Completed	Evidence to Support an Allegation of Fraud		Investigations Pending End of Quarter ^{b/}
		Received	Accepted		Sufficient	Not Sufficient	
Statewide	645,648	59,072	57,851	54,820	19,415	35,405	102,031
Alameda	21,288	1,213	1,207	1,392	826	566	797
Alpine	23	6	6	21	8	13	0
Amador	335	69	69	30	12	18	203
Butte	5,911	379	272	773	199	574	444
Calaveras	689	383	383	383	77	306	10
Colusa	395	9	9	23	3	20	120
Contra Costa	8,187	440	414	453	264	189	477
Del Norte	1,214	63	63	30	10	20	112
El Dorado	1,434	172	172	200	108	92	412
Fresno	25,824	1,233	1,167	1,472	764	708	1,813
Glenn	671	365	365	349	85	264	307
Humboldt	3,782	191	190	196	64	132	237
Imperial	5,231	323	322	258	239	19	460
Inyo	336	11	11	31	8	23	25
Kern	17,961	4,652	4,602	4,358	1,450	2,908	1,364
Kings	3,636	951	951	971	194	777	414
Lake	2,009	112	112	123	70	53	106
Lassen	669	34	34	20	8	12	63
Los Angeles	270,466	14,535	14,164	11,431	3,705	7,726	59,792
Madera	4,142	754	754	770	76	694	68
Marin	1,324	81	81	71	51	20	344
Mariposa	297	4	4	1	1	0	48
Mendocino	2,403	171	171	108	60	48	229
Merced	2,406	835	835	954	209	745	276
Modoc	290	60	60	29	5	24	45
Mono	89	1	1	1	1	0	0
Monterey	5,075	243	239	225	87	138	1,823
Napa	673	47	47	70	34	36	300
Nevada	702	38	31	29	16	13	59
Orange	23,417	2,840	2,756	2,532	1,569	963	3,098
Placer	1,579	88	88	90	49	41	252
Plumas	229	30	30	16	9	7	34
Riverside	20,028	8,928	8,927	8,896	1,795	7,101	1,667
Sacramento	33,560	1,454	1,355	1,223	566	657	5,057
San Benito	631	27	27	24	11	13	40
San Bernardino	42,538	2,231	2,207	2,226	681	1,545	1,701
San Diego	29,081	5,422	5,094	4,677	1,640	3,037	3,619
San Francisco	13,376	1,083	1,083	1,151	758	393	2,943
San Joaquin	14,597	198	198	171	113	58	368
San Luis Obispo	2,411	275	275	224	81	143	244
San Mateo	1,908	165	165	167	86	81	301
Santa Barbara	5,053	256	244	290	124	166	181
Santa Clara	12,970	618	618	282	230	52	2,466
Santa Cruz	2,573	1,073	1,072	1,078	218	860	51
Shasta	4,409	369	369	428	218	210	941
Sierra	36	5	5	6	2	4	6
Siskiyou	1,187	568	568	533	203	330	43
Solano	3,100	1,046	1,040	1,035	421	614	1,546
Sonoma	3,314	298	298	333	180	153	1,979
Stanislaus	10,013	918	904	912	602	310	1,251
Sutter	1,429	407	406	397	157	240	182
Tehama	1,873	80	80	82	27	55	540
Trinity	302	107	106	108	48	60	84
Tulare	14,883	1,617	1,614	1,649	305	1,344	1,865
Tuolumne	846	407	399	346	174	172	254
Ventura	7,794	290	290	282	141	141	315
Yolo	2,576	288	288	252	76	176	602
Yuba	2,472	609	609	638	297	341	53

a/ Data is the monthly average of the July - September 2001 quarter of Federal and State Public Assistance and Nonassistance Food Stamp participating households from the DFA 256 report. NOTE: County numbers do not add to Statewide total due to rounding.

b/ Data is from DPA 266 September 2001 Item 7 Investigations pending at the end of the month. The investigations pending numbers include county case adjustments that occurred during the quarter. NOTE: The statewide total of Investigations pending at the beginning of the quarter from DPA 266 July 2001 Item 4 was 99,185.

TABLE 8
Food Stamp Program
REQUESTS ACCEPTED FOR INVESTIGATION BY PRIMARY ELEMENT OF FRAUD
July – September 2001

County	Early Fraud Prevention/ Detection	Income and Eligibility Verification System	Family (Household) Composition	Other Unreported Income	Residence	Unreported Resources/ Assets	Other ^{a/}
Statewide	34,156	10,154	4,463	2,212	1,001	814	5,051
Alameda	425	295	136	136	63	1	151
Alpine	5	0	1	0	0	0	0
Amador	0	9	7	17	2	0	34
Butte	59	38	81	42	12	4	36
Calaveras	269	41	20	3	18	4	28
Colusa	0	0	4	1	0	0	4
Contra Costa	247	124	4	7	0	7	25
Del Norte	16	0	16	16	3	5	7
El Dorado	61	16	31	30	12	4	18
Fresno	483	217	193	171	23	7	73
Glenn	156	10	64	49	48	4	34
Humboldt	59	1	29	10	8	2	81
Imperial	214	2	46	14	30	7	9
Inyo	0	7	2	0	0	2	0
Kern	1,925	2	607	97	53	22	1,896
Kings	766	2	51	38	20	1	73
Lake	4	1	50	15	13	2	27
Lassen	8	0	14	2	5	1	4
Los Angeles	7,066	5,871	195	224	0	228	580
Madera	641	54	30	12	1	0	16
Marin	5	15	13	8	3	2	35
Mariposa	1	0	0	2	0	1	0
Mendocino	4	68	22	32	5	38	2
Merced	534	59	113	60	26	5	38
Modoc	7	3	13	10	8	2	17
Mono	0	0	0	0	0	0	1
Monterey	101	30	21	6	11	4	66
Napa	2	1	10	16	4	1	13
Nevada	7	1	13	4	5	0	1
Orange	2,434	178	38	93	0	8	5
Placer	6	0	29	19	14	6	14
Plumas	12	11	6	1	0	0	0
Riverside	7,746	291	339	95	19	40	397
Sacramento	918	216	73	47	13	23	65
San Benito	20	0	1	0	1	0	5
San Bernardino	186	30	1,037	255	329	119	251
San Diego	4,238	570	95	127	27	6	31
San Francisco	127	481	9	23	4	2	437
San Joaquin	132	29	7	8	0	2	20
San Luis Obispo	58	54	54	25	7	19	58
San Mateo	25	24	40	52	5	2	17
Santa Barbara	63	17	48	44	10	2	60
Santa Clara	212	257	44	61	0	2	42
Santa Cruz	720	299	8	10	0	33	2
Shasta	157	14	124	27	30	5	12
Sierra	0	0	0	5	0	0	0
Siskiyou	268	3	57	13	46	146	35
Solano	767	143	57	37	18	6	12
Sonoma	62	126	37	10	2	4	57
Stanislaus	155	380	263	44	23	0	39
Sutter	283	6	30	23	10	1	53
Tehama	17	0	21	20	6	4	12
Trinity	31	37	14	10	5	8	1
Tulare	1,213	79	153	69	15	9	76
Tuolumne	348	1	27	3	12	1	7
Ventura	265	0	1	22	0	0	2
Yolo	197	13	22	23	6	2	25
Yuba	431	28	43	24	26	10	47

a/ "Other" includes replaced lost Food Stamps, duplicate (multiple) aid, forgery, fictitious identity, Food Stamp trafficking, internal affairs, child care, drug felon, fleeing felon, probation/parole violators, and other miscellaneous elements of fraud.

TABLE 9
Food Stamp Program
INVESTIGATION RESULTS^{a/}
July – September 2001

County	Early Fraud (Denials/Benefits Reduced/ Discontinuances)	Referred for		Restitution Action	Benefits Reduced or Discontinued (Non-Early Fraud)	Fraud Found, No Adverse Financial Impact	Expiration of Statutes
		Prosecution	Administrative Disqualification Hearing				
Statewide	9,569	1,768	341	4,346	2,704	2,368	604
Alameda	294	408	0	81	9	34	11
Alpine	0	0	0	0	1	0	0
Amador	1	0	0	5	6	0	0
Butte	46	20	1	56	84	3	52
Calaveras	50	2	0	0	20	5	0
Colusa	0	3	0	0	0	0	0
Contra Costa	61	16	11	100	0	73	0
Del Norte	3	0	0	0	6	1	0
El Dorado	9	1	0	27	6	65	26
Fresno	229	91	165	107	166	6	137
Glenn	40	2	0	7	30	6	0
Humboldt	32	2	0	12	23	7	0
Imperial	19	3	0	8	17	11	0
Inyo	0	0	0	0	4	4	0
Kern	821	23	1	47	330	228	2
Kings	152	6	0	3	26	7	0
Lake	5	16	0	28	31	10	0
Lassen	3	0	0	0	5	0	0
Los Angeles	1,910	308	0	956	153	1,182	0
Madera	15	14	0	22	23	2	0
Marin	4	1	0	26	12	16	0
Mariposa	0	0	0	0	1	0	0
Mendocino	0	5	0	33	5	17	7
Merced	64	44	0	42	106	9	0
Modoc	2	2	0	0	0	1	0
Mono	0	1	0	0	0	0	0
Monterey	36	7	0	13	16	15	2
Napa	8	2	0	10	6	9	2
Nevada	1	5	0	4	6	0	0
Orange	1,084	67	32	204	396	45	0
Placer	3	13	0	6	26	1	0
Plumas	4	1	0	2	2	0	0
Riverside	1,389	79	0	311	0	12	0
Sacramento	332	63	0	136	32	3	93
San Benito	7	1	0	0	2	1	0
San Bernardino	51	81	13	306	390	83	0
San Diego	890	36	94	669	19	81	0
San Francisco	85	166	0	199	235	2	112
San Joaquin	75	33	0	2	8	5	1
San Luis Obispo	27	5	0	6	38	4	2
San Mateo	24	9	0	25	20	8	0
Santa Barbara	29	16	0	58	40	11	0
Santa Clara	85	56	0	84	5	0	4
Santa Cruz	187	9	0	17	5	0	0
Shasta	74	14	0	6	54	70	0
Sierra	0	1	0	0	1	0	0
Siskiyou	66	1	0	9	65	61	0
Solano	304	26	0	66	3	22	95
Sonoma	104	3	6	167	40	67	2
Stanislaus	98	12	18	368	154	42	0
Sutter	119	1	0	17	0	30	0
Tehama	10	0	0	0	14	3	0
Trinity	10	3	0	4	13	17	0
Tulare	231	35	0	25	0	14	52
Tuolumne	123	0	0	12	14	30	0
Ventura	128	13	0	0	0	0	4
Yolo	37	19	0	18	15	22	0
Yuba	188	23	0	42	21	23	0

a/ This table reflects the outcome of an investigation. In some instances an investigation may have more than one outcome reported. Therefore, the data displayed on this table may not correspond to the numbers on Table 7.

TABLE 10
Food Stamp Program
DISTRICT ATTORNEY PROSECUTION ACTIVITY
July – September 2001

County	Referrals Received	Referrals Available ^{a/}	Prosecutions Filed	Decision Made Not to Prosecute
Statewide	1,620	6,236	1,336	313
Alameda	276	595	310	17
Alpine	0	0	0	0
Amador	0	5	0	0
Butte	18	228	2	7
Calaveras	0	0	0	0
Colusa	3	3	3	0
Contra Costa	8	61	2	0
Del Norte	0	53	0	0
El Dorado	1	1	1	0
Fresno	91	145	118	0
Glenn	2	2	2	0
Humboldt	2	5	2	0
Imperial	3	28	3	0
Inyo	0	1	1	0
Kern	23	82	10	6
Kings	6	23	10	2
Lake	46	166	34	15
Lassen	0	2	1	0
Los Angeles	308	891	222	60
Madera	14	14	14	0
Marin	1	4	2	1
Mariposa	0	3	0	0
Mendocino	5	30	5	0
Merced	44	704	31	0
Modoc	2	3	1	0
Mono	2	2	1	0
Monterey	7	50	9	0
Napa	2	36	0	10
Nevada	5	7	0	0
Orange	64	119	39	6
Placer	13	172	9	0
Plumas	1	11	0	0
Riverside	65	113	61	1
Sacramento	63	294	38	8
San Benito	0	2	0	0
San Bernardino	81	550	82	0
San Diego	30	365	75	9
San Francisco	166	292	10	154
San Joaquin	33	79	47	1
San Luis Obispo	5	5	5	0
San Mateo	9	47	9	0
Santa Barbara	18	18	16	2
Santa Clara	56	465	29	0
Santa Cruz	9	9	9	0
Shasta	14	25	2	4
Sierra	1	1	1	0
Siskiyou	1	3	1	0
Solano	26	338	27	6
Sonoma	6	22	5	1
Stanislaus	12	12	12	0
Sutter	1	1	1	0
Tehama	0	0	0	0
Trinity	3	5	2	1
Tulare	35	35	33	2
Tuolumne	0	11	2	0
Ventura	12	38	14	0
Yolo	19	19	19	0
Yuba	8	41	4	0

a/ Data is from DPA 266 July 2001 Item 23 Referrals pending beginning of month plus July, August and September 2001's Item 24 Referrals received during the month.

TABLE 11
Food Stamp Program
PROSECUTION OUTCOMES^{a/}
July – September 2001

County	Convictions	Administrative Action	Disqualification Consent Agreements	Charge Pleaded	Dismissals	Acquittals	Declinations	Expiration of Statutes
Statewide	1,123	235	130	137	186	1	54	5
Alameda	185	66	66	0	91	0	17	0
Alpine	0	0	0	0	0	0	0	0
Amador	1	0	0	0	0	0	0	0
Butte	4	0	11	0	0	0	0	0
Calaveras	0	0	0	0	0	0	0	0
Colusa	1	0	0	0	0	0	0	0
Contra Costa	10	0	0	10	7	0	2	0
Del Norte	0	0	0	0	0	0	0	0
El Dorado	0	0	0	0	0	0	0	0
Fresno	94	1	0	0	2	0	0	1
Glenn	4	0	0	1	0	0	0	0
Humboldt	3	0	4	0	1	0	0	0
Imperial	3	0	0	0	0	0	0	0
Inyo	0	0	0	1	0	0	0	0
Kern	26	0	0	0	0	0	0	0
Kings	10	0	4	0	3	0	0	0
Lake	0	0	0	7	0	0	0	0
Lassen	0	0	0	0	0	0	0	0
Los Angeles	199	0	0	0	8	0	0	0
Madera	14	0	16	0	0	0	1	0
Marin	3	0	0	0	0	0	1	0
Mariposa	0	0	0	0	0	0	0	0
Mendocino	7	0	0	6	6	1	0	0
Merced	15	0	0	0	5	0	11	0
Modoc	1	0	0	1	0	0	0	0
Mono	0	0	0	0	0	0	0	0
Monterey	0	0	0	0	4	0	0	0
Napa	0	0	0	0	10	0	0	0
Nevada	0	0	0	0	0	0	0	0
Orange	37	0	0	0	0	0	0	0
Placer	0	0	8	4	0	0	0	0
Plumas	0	0	0	0	0	0	0	0
Riverside	56	0	0	104	4	0	0	0
Sacramento	54	0	0	0	0	0	9	0
San Benito	0	0	1	0	0	0	0	0
San Bernardino	90	0	0	0	6	0	0	0
San Diego	66	0	0	0	0	0	0	0
San Francisco	21	154	0	0	6	0	0	0
San Joaquin	17	0	0	0	2	0	2	0
San Luis Obispo	8	0	0	0	0	0	2	0
San Mateo	8	0	0	0	0	0	0	0
Santa Barbara	7	11	2	0	0	0	0	0
Santa Clara	29	0	0	0	0	0	0	0
Santa Cruz	2	0	0	0	1	0	2	0
Shasta	11	0	0	1	1	0	0	0
Sierra	0	0	1	0	0	0	0	0
Siskiyou	0	0	0	0	0	0	0	0
Solano	21	0	0	0	6	0	6	4
Sonoma	6	3	0	0	0	0	1	0
Stanislaus	18	0	0	0	9	0	0	0
Sutter	1	0	0	0	0	0	0	0
Tehama	0	0	0	0	0	0	0	0
Trinity	0	0	2	0	0	0	0	0
Tulare	47	0	0	0	12	0	0	0
Tuolumne	0	0	0	0	0	0	0	0
Ventura	7	0	0	0	0	0	0	0
Yolo	35	0	0	2	2	0	0	0
Yuba	2	0	15	0	0	0	0	0

a/ This table reflects the outcome of a prosecution. In some instances a prosecution may have more than one outcome reported.

TABLE 12
Food Stamp Program
FRAUD OVERISSUANCES AND COLLECTIONS
July – September 2001

County	Fraud Overissuances Identified	Total Collections
Statewide	\$6,253,535	\$2,095,794
Alameda	639,480	231,946
Alpine	0	0
Amador	3,878	2,923
Butte	14,419	35,924
Calaveras	0	1,069
Colusa	9,375	118
Contra Costa	86,504	36,680
Del Norte	2,982	1,475
El Dorado	5,136	15,698
Fresno	100,002	73,590
Glenn	11,264	8,232
Humboldt	0	14,617
Imperial	11,366	0
Inyo	394	1,698
Kern	128,917	81,625
Kings	22,258	29,389
Lake	26,464	3,804
Lassen	1,806	435
Los Angeles	2,942,179	133,942
Madera	43,606	32,128
Marin	4,973	23,828
Mariposa	0	0
Mendocino	32,257	20,340
Merced	40,768	6,391
Modoc	205	100
Mono	0	0
Monterey	18,959	26,900
Napa	28,687	3,477
Nevada	4,422	882
Orange	169,673	50,337
Placer	18,757	15,829
Plumas	978	1,215
Riverside	193,841	51,128
Sacramento	279,037	158,175
San Benito	2,653	7,710
San Bernardino	226,940	199,314
San Diego	338,960	62,857
San Francisco	156,066	136,954
San Joaquin	64,845	24,801
San Luis Obispo	18,637	12,051
San Mateo	38,294	10,466
Santa Barbara	36,199	24,418
Santa Clara	80,540	36,393
Santa Cruz	11,538	8,273
Shasta	16,097	8,983
Sierra	69	476
Siskiyou	18,000	37,200
Solano	67,159	112,928
Sonoma	44,013	53,068
Stanislaus	140,247	157,690
Sutter	9,253	2,480
Tehama	0	1,003
Trinity	874	370
Tulare	86,306	0
Tuolumne	7,065	10,540
Ventura	14,892	69,239
Yolo	16,453	40,636
Yuba	15,848	14,049