



Administration for Children and Families

**Office of Refugee Resettlement**

**Notice of Final Fiscal Year 2011 Formula Allocations to States for Refugee Social Services**

**HHS-2011-ACF-ORR-ORRRS-0258**

**DEPARTMENT OF HEALTH AND HUMAN SERVICES  
ADMINISTRATION FOR CHILDREN AND FAMILIES**

**Program Office:** Office of Refugee Resettlement (ORR)

**Program Announcement Number:** HHS-2011-ACF-ORR-ORRSS-0258

**Announcement Title:** Notice of Final Fiscal Year 2011 Formula Allocations to States for Refugee Social Services

**CFDA Number:** 93.566 - Refugee Assistance – State Administered Programs

**Date of Issuance:** September 8, 2011

**I. SUMMARY**

This notice announces the final Fiscal Year (FY) 2011 formula allocations to States for Refugee Social Services. The allocations to States are based on FY 2009 and FY 2010 refugee arrivals data (October 1, 2008, through September 30, 2010) and data that States submitted to the Office of Refugee Resettlement (ORR) and that ORR matched against the Refugee Arrivals Data System (RADS).

**II. APPLICATION**

A State must have an approved Annual Services Plan, which is part of the ORR-6 Performance Report, OMB No. 0970-0036, as required by 45 CFR 400.11(b)(2), in order to use the formula Social Services funds described in this notice. In order to use formula Social Services funds for Cuban and Haitian entrants, a State must indicate in its State Plan that Cuban and Haitian entrants will be served and must have an approved Cuban and Haitian Entrant Program.

**III. ELIGIBLE POPULATIONS**

Eligibility for Refugee Social Services includes refugees, Cuban and Haitian entrants (including Cuban Medical Professional Parolees and Havana Parolees), asylees, victims of a severe form of trafficking who have received certification, eligibility or interim assistance letters from ORR and certain other specified family members, Lawful Permanent Residents who have held one of these statuses in the past, certain Amerasians from Vietnam who are admitted to the U.S. as immigrants, certain Amerasians from Vietnam who are U.S. citizens, and Iraqi and Afghan Special Immigrants. See 45 CFR 400.43 and ORR State Letter No. 00-12 (June 15, 2000), as clarified by ORR State Letter No. 00-15 (August 3, 2000), and ORR State Letter No. 00-17 (September 14, 2000) on eligibility for ORR programs. Also, see ORR State Letter No. 01-13 (May 3, 2001) on the Trafficking Victims Protection Act, as modified by ORR State Letter No. 02-01 (January 4, 2002), ORR State Letter No. 04-12 (June 18, 2004), and ORR State Letter No.

10-05 (March 19, 2010). In addition, see ORR State Letters No. 08-04 (January 18, 2008), No. 08-06 (February 7, 2008), No. 09-02 (October 14, 2008), No. 09-17 (April 9, 2009), and No.10-02 (December 23, 2009) on Iraqi and Afghan Special Immigrant eligibility. ORR State Letters may be found at [http://www.acf.hhs.gov/programs/orr/policy/orr\\_policy.htm](http://www.acf.hhs.gov/programs/orr/policy/orr_policy.htm). ORR regulations may be found at [http://www.acf.hhs.gov/programs/orr/policy/orr\\_regulations.htm](http://www.acf.hhs.gov/programs/orr/policy/orr_regulations.htm).

The term “refugee” is used in this notice to encompass all such additional persons, as described above, who are eligible to participate in refugee program services.

#### **IV. SUPPLEMENTARY INFORMATION**

##### **Amounts for Allocation**

ORR will allocate the \$153,696,990 appropriated for FY 2011 for Social Services as follows:

- \$84,731,106 is to be allocated using the 2-year population (FY 2009 and FY 2010) of eligible persons in calculating Social Services formula awards, as set forth in this notice, for the purpose of providing employment services and other needed services to refugees.
- \$19,000,000 is to be awarded for continuation awards of grants to serve communities most heavily affected by recent Cuban and Haitian entrant and refugee arrivals.
- \$15,000,000 is to be awarded for continuation awards for educational support to schools that have a significant number of refugee children and that are impacted by the concentration of refugee children.
- \$27,965,884 is to be awarded separately from this notice through discretionary awards to public and private non-profit organizations for continuation of awards made in prior years.
- \$7,000,000 is to be awarded as new Social Service discretionary awards under competitive grant announcements issued separately from this final notice.

#### **V. REFUGEE SOCIAL SERVICE FUNDS**

The Director allocates \$84,731,106 to States on the basis of each State's proportion of the national population of refugees who arrived in the U.S. during the most recent 2-year period before the beginning of FY 2011 (including a floor amount for States that have small refugee populations). Of this amount, approximately \$9 million is awarded to Wilson/Fish Alternative Projects that provide social services.

In ORR State Letter No. 11-03 (January 24, 2011), States were requested to submit their State-generated asylee and entrant data and secondary migration data for refugees and entrants who have resided in their State during the 2-year period prior to October 1, 2010, to the ORR web-based data system for data records matching. The website was open for file submission from February 28, 2011, through March 14, 2011. The data submission period was established to

ensure adequate time for records matching and for publication of the notice for the Social Services formula allocations for FY 2011. The ORR Data Submission website has been approved by the Office of Management and Budget as a data collection instrument. (Title: Refugee Data Submission System for Formula Funds Allocations; OMB Control Number: 0970-0043; Expiration Date: 4/30/2012.)

In the determination of allocations, a variable floor amount for States that have small refugee populations is calculated as follows:

If the application of the regular allocation formula yields less than \$100,000, then –

(1) a base [floor] amount of \$75,000 is provided for a State with a population of 50 or fewer refugees who have been in the U.S. 2 years or less; and

(2) for a State with more than 50 refugees who have been in the U.S. 2 years or less: (a) a floor has been calculated consisting of \$50,000 plus the regular per capita allocation for the total number of arrivals minus 50 up to a total of \$100,000 (in other words, the maximum under the floor formula is \$100,000); (b) if this calculation has yielded less than \$75,000, a base amount of \$75,000 is provided for the State.

The allocation formula floor of \$75,000 for States with very small annual numbers of arrivals provides funding for the minimal structure for a State to provide employment and other social services necessary for successful resettlement in American communities.

## **VI. POPULATIONS TO BE SERVED AND ALLOWABLE SERVICES**

Eligibility for Refugee Social Services includes persons who meet requirements of 45 CFR 400.43 as well as the requirements in the ORR State Letters listed in *Section III. Eligible Populations* above.

Services to refugees must be provided in accordance with 45 CFR Part 400 Subpart I -- Refugee Social Services. Although the allocation formula is based on the most recent 2-year refugee population, States may provide services to refugees who have been in the country up to 60 months (5 years), with the exception of referral and interpreter services and citizenship and naturalization preparation services for which there is no time limitation (45 CFR 400.152(b)).

Allowable social services are those indicated in 45 CFR 400.154 and 400.155. Requests to provide additional services not included in these sections must be submitted to, and approved by, the Director of ORR, as required under 45 C.F.R. 400.155(h).

## **VII. SERVICE PRIORITIES**

In accordance with 45 CFR 400.147, States are required to provide social services to refugees in the following order of priority, except in certain individual extreme circumstances: (a) all newly arriving refugees during their first year in the U.S. who apply for services; (b) refugees who are receiving cash assistance; (c) unemployed refugees who

are not receiving cash assistance; and (d) employed refugees in need of services to retain employment or to attain economic independence. In order for refugees to leave Temporary Assistance for Needy Families (TANF) quickly, States should, to the extent possible, ensure that all newly arriving refugees receive refugee-specific services designed to address the employment barriers that refugees typically face.

ORR encourages States to re-examine the range of services they currently offer to refugees. Those States that have had success in helping refugees achieve early employment may find it possible to expand beyond the provision of basic employment services and to address the broader needs that refugees have in order to enhance their ability to maintain financial security and to integrate successfully into the community. Other States may need to reassess the delivery of employment services in light of local economic conditions and to develop new strategies to improve services to newly arriving refugee groups.

States are encouraged to consider eligible sub-recipients for Social Services formula funds, including public or private non-profit agencies such as refugee, faith-based, and community organizations.

## **VIII. ALLOCATION FORMULAS**

Of the funds available for FY 2011 for social services, \$84,731,106 is allocated to States in accordance with the formula specified below.

A State's formula allocation is calculated as follows:

1. The total amount of funds determined by the Director to be available for this purpose divided by -
2. The total number of refugees, Cuban and Haitian entrants (including Cuban Medical Professional Parolees and Havana Parolees), asylees, certain Amerasians from Vietnam, victims of severe forms of trafficking, and Iraqi and Afghan Special Immigrants, as shown by RADS data for FY 2009 and FY 2010. The resulting per capita amount is multiplied by -
3. The number of persons in item 2, above, in the State as of September 30, 2010, adjusted for verified secondary migration.

The calculation above yields the formula allocation for each State. Minimum allocations for States with low numbers of arrivals are also determined by the allocation formula.

## **IX. BASIS OF POPULATION ESTIMATES**

The population figures used in the FY 2011 formula allocations to States for Refugee Social Services are based on data on refugee arrivals for FY 2009 and FY 2010 from RADS as of September 30, 2010, adjusted for verified secondary migration. The database includes refugees, Amerasians from Vietnam, Cuban and Haitian entrants, Havana Parolees, Cuban Medical

Professional Parolees, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants. Using the most recent 2-year arrival data permits an allocation of funds that reflects as closely as possible the locations of the most recently arrived refugees.

ORR makes additional adjustments for asylees and entrants reported by States as receiving services in FY 2009 and FY 2010, as verified by ORR. Data on trafficking victims are taken from the total number of trafficking victims' certification, eligibility, and interim assistance letters issued by ORR. ORR receives Iraqi and Afghan Special Immigrant data for use in the allocations from the Department of State's Worldwide Refugee Admissions Processing System (WRAPS) and from the Department of Homeland Security Customs and Border Protection.

The data on secondary migration are based on information submitted by participating States on refugee and entrant secondary migrants who have resided in the U.S. for 24 months or less, as of September 30, 2010, as verified through matching against RADS. For the allocations, the data from States' secondary migration files were matched against RADS, yielding in- and out-migration figures for refugees and entrants and a net migration figure for each State. The net migration figure is applied to the State's total arrival figure, resulting in a revised ORR population figure.

The attached table presents the final FY 2011 social service formula allocations. The columns reflect 2-year populations, for October 1, 2008, through September 30, 2010, of Refugees and Amerasians (Col. 1), Asylees (Col. 2), Cuban and Haitian Entrants (Col. 3), Cuban Medical Professional Parolees (Col. 4), Havana Parolees (Col. 5), Victims of Trafficking (Col. 6), Iraqi and Afghan Special Immigrants (Col. 7), Total Population (Col. 8), the Final Formula Amounts without floor amounts (Col. 9), and the Final Formula Amounts with the formula floor allocations (Col. 10).

## **X. FINAL ALLOCATION AMOUNTS**

Funding subsequent to the publication of this final notice is based on the submission to ORR by October 30, 2011, of a State Annual Services Plan that is developed on the basis of a local consultative process, as required by 45 CFR 400.11(b)(2) in the ORR regulations.

## **XI. REPORTING REQUIREMENTS**

States are required to submit (1) the ORR-6 Performance Reports on a trimester basis and (2) Standard Form (SF) 425 Federal Financial Report on a quarterly basis. Performance Reports are submitted using Schedule A and Schedule C of the ORR-6 Performance Report (OMB Clearance Number 0970-0036, expiration date 08/30/2010, 10 estimated burden hours per response), which may be found at [http://www.acf.hhs.gov/programs/orr/policy/report\\_forms\\_instructions.htm](http://www.acf.hhs.gov/programs/orr/policy/report_forms_instructions.htm). The ORR-6 Performance Reports are submitted to the Office of Refugee Resettlement. The Federal Financial Report may be found at: [http://www.acf.hhs.gov/grants/grants\\_resources.html](http://www.acf.hhs.gov/grants/grants_resources.html). The Federal Financial Report should be submitted both to ACF's Office of Grants Management and to the ORR State Project Officer. States also have the option of submitting the Federal Financial Report through ACF's on-line data collection (OLDC) system. Contact Henley Portner at (202) 401-5363 or [Henley.Portner@acf.hhs.gov](mailto:Henley.Portner@acf.hhs.gov) or your State Project Officer for more

information on submitting Federal Financial Reports through the OLDC web-based system.

**XII. PAPERWORK REDUCTION ACT**

This notice includes the ORR-6 Performance Report requirement. The ORR-6 is currently under review at OMB, as required by the Paperwork Reduction Act, Pub.L. 104-13. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

**XIII. FOR FURTHER INFORMATION**

Contact Henley Portner, Division of Budget, Policy, and Data Analysis (BPDA), Office of Refugee Resettlement, Administration for Children and Families, telephone (202) 401-5363, email [Henley.Portner@acf.hhs.gov](mailto:Henley.Portner@acf.hhs.gov) and fax (202) 401-0981.

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Date

  
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Director  
Office of Refugee Resettlement

**FY 2011 SOCIAL SERVICES ALLOCATIONS**

<i>State</i>	<i>Refugees and Amerasians (1)</i>	<i>Asylees (2)</i>	<i>Cuban and Haitian Entrants (3)</i>	<i>Cuban Medical Parolees (4)</i>	<i>Havana Parolees (5)</i>	<i>Victims of Trafficking (6)</i>	<i>Iraqi and Afghan Special Immigrants (7)</i>	<i>Total Population (8)</i>	<i>Formula Amounts (without floors) (9)</i>	<i>Final Formula Amounts (10)</i>
<i>Alabama</i>	313	7	4	0	2	2	19	347	\$140,934	\$140,934
<i>Alaska</i>	225	12	1	0	0	0	1	239	97,070	100,000
<i>Arizona</i>	6,915	141	203	14	3	5	230	7,511	3,050,583	3,050,583
<i>Arkansas</i>	48	0	0	0	0	1	9	58	23,557	75,000
<i>California</i>	19,650	3,811	24	3	57	120	959	24,624	10,001,006	10,001,006
<i>Colorado</i>	4,273	185	22	2	3	10	170	4,665	1,894,684	1,894,684
<i>Connecticut</i>	762	54	1	0	9	8	43	877	356,192	356,192
<i>Delaware</i>	14	6	1	0	4	0	0	25	10,154	75,000
<i>District of Col.</i>	68	354	0	0	0	52	24	498	202,262	202,262
<i>Florida</i>	9,801	3,065	18,680	212	18,391	105	144	50,398	20,469,083	20,469,083
<i>Georgia</i>	6,143	76	134	0	32	27	120	6,532	2,652,963	2,652,963
<i>Hawaii</i>	9	10	0	0	0	98	1	118	47,926	77,726
<i>Idaho</i>	2,009	5	0	0	0	0	38	2,052	833,417	833,417
<i>Illinois</i>	4,936	152	15	1	15	15	165	5,299	2,152,182	2,152,182
<i>Indiana</i>	2,670	6	1	0	3	1	31	2,712	1,101,475	1,101,475
<i>Iowa</i>	1,435	17	0	0	0	0	28	1,480	601,100	601,100
<i>Kansas</i>	906	2	0	0	0	5	24	937	380,561	380,561
<i>Kentucky</i>	3,415	40	560	11	46	26	58	4,156	1,687,954	1,687,954
<i>Louisiana</i>	543	11	7	0	9	43	43	656	266,434	266,434
<i>Maine</i>	736	95	0	0	0	0	5	836	339,540	339,540
<i>Maryland</i>	2,095	837	1	1	6	7	115	3,062	1,243,627	1,243,627
<i>Massachusetts</i>	3,404	363	29	0	15	3	45	3,859	1,567,328	1,567,328
<i>Michigan</i>	6,616	53	15	2	23	6	254	6,969	2,830,450	2,830,450
<i>Minnesota</i>	4,989	108	0	0	1	9	29	5,136	2,085,980	2,085,980
<i>Mississippi</i>	33	0	0	0	0	4	13	50	20,307	75,000
<i>Missouri</i>	2,463	47	7	0	13	31	144	2,705	1,098,632	1,098,632
<i>Montana</i>	7	1	0	0	0	0	1	9	3,655	75,000
<i>Nebraska</i>	1,599	0	0	1	13	4	56	1,673	679,487	679,487
<i>Nevada</i>	1,029	65	177	8	92	8	20	1,399	568,202	568,202
<i>New Hampshire</i>	1,100	3	0	0	0	0	2	1,105	448,794	448,794
<i>New Jersey</i>	1,447	65	245	10	84	6	20	1,877	762,341	762,341
<i>New Mexico</i>	353	0	43	0	9	0	16	421	170,989	170,989
<i>New York</i>	8,474	1,669	113	31	66	98	199	10,650	4,325,484	4,325,484
<i>North Carolina</i>	4,392	62	57	0	27	5	210	4,753	1,930,425	1,930,425
<i>North Dakota</i>	857	0	0	0	0	7	10	874	354,974	354,974
<i>Ohio</i>	4,016	326	23	0	8	3	78	4,454	1,808,986	1,808,986
<i>Oklahoma</i>	455	18	0	0	1	1	35	510	207,136	207,136
<i>Oregon</i>	1,779	55	148	0	10	9	36	2,037	827,325	827,325
<i>Pennsylvania</i>	4,608	114	88	1	20	15	98	4,944	2,007,999	2,007,999
<i>Rhode Island</i>	389	0	0	0	0	12	5	406	164,896	164,896
<i>South Carolina</i>	238	19	0	0	4	1	32	294	119,408	119,408
<i>South Dakota</i>	1,188	5	5	0	0	0	9	1,207	490,221	490,221
<i>Tennessee</i>	2,896	86	32	1	11	3	218	3,247	1,318,765	1,318,765
<i>Texas</i>	15,760	480	583	37	102	102	895	17,959	7,294,025	7,294,025
<i>Utah</i>	2,241	17	0	1	0	10	47	2,316	940,640	940,640
<i>Vermont</i>	631	21	0	0	0	0	6	658	267,246	267,246
<i>Virginia</i>	3,134	309	34	1	23	15	472	3,988	1,619,721	1,619,721
<i>Washington</i>	5,507	53	7	0	2	26	155	5,750	2,335,355	2,335,355
<i>West Virginia</i>	45	4	0	0	0	0	2	51	20,714	75,000
<i>Wisconsin</i>	1,353	33	13	0	1	3	24	1,427	579,574	579,574
<i>Wyoming</i>	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>147,969</b>	<b>12,862</b>	<b>21,273</b>	<b>337</b>	<b>19,105</b>	<b>906</b>	<b>5,358</b>	<b>207,810</b>	<b>84,401,763</b>	<b>84,731,106</b>