

DEPARTMENT OF SOCIAL SERVICES



November 7, 1984

ALL-COUNTY LETTER NO. 84-112

TO: ALL-COUNTY WELFARE DIRECTORS
ALL-COUNTY FISCAL OFFICERS

SUBJECT: INTEGRATED EARNINGS CLEARANCE/FRAUD DETECTION SYSTEM (IEC/FDS)

REFERENCE:

Since the implementation of the IEC/FDS in September, 1983, there have been several operational enhancements made to the system by the State Department of Social Services (SDSS). The reason for this letter is to inform the counties of five changes to this system, along with related instructions and implementation dates. Of particular importance is the fact that Refugee Cash Assistance/Entrant Cash Assistance (RCA/ECA) recipients must be included in the IEC/FDS.

I. MISMATCHED DUPLICATE AID CASES:

Effective with the January-March, 1984 process quarter (the material you received in September, 1984), counties will no longer receive the data on mismatched duplicate aid cases. SDSS staff will assume responsibility for the initial review of these cases. This means that if your county has opted for the "Mismatched" computer file (record code 5301 in bytes 1-4), or the "Mismatched" ECS 155 document, you will now only receive mismatched wage cases. This change is necessary since not all counties were opting for the mismatched cases. This meant that some cases were being processed in one county but not in the other affected county(s).

II. DUPLICATE AID MATCH CRITERIA:

Also effective with the January - March, 1984 quarter, the matching criteria for the duplicate aid detection segment of the IEC/FDS will change to the following:

A case will be considered "matched" when two or more county records contain:

- A. The same Social Security Number (SSN);
- B. The same Date of Birth (DOB exact);
- C. The first five characters of the last name or the first three characters of the first name are the same; and
- D. The sex is the same;

OR

- A. The same Social Security Number (SSN);
- B. The same Date of Birth (DOB exact);
- C. The first five characters of the last name and the first three characters of the first name are the same.

A case will be considered duplicated and reported back to the county when a match occurs using the above match criteria and the same type of aid has been received for three consecutive months of the process quarter.

Note: The phrase "Types of Aid Received" refers to AFDC, Food Stamps, and/or General Relief, etc. as reported by the county (Position 162-173 on the computer tape record or on the GEN 1135T or GEN 1135). This will be used as a criteria to determine if a recipient is receiving duplicate benefits. Recipients in companion cases who receive Food Stamps in one case and AFDC or General Relief in another case would not be identified as duplicate aid cases.

Under the old match criteria, a case was considered matched when two or more county records contained:

- A. The same Social Security Number (SSN);
- B. The same Date of Birth (DOB) \pm 24 months;
- C. The first five characters of the last name or the first three characters of the first name were the same;
- D. The sex was the same.

These refinements to the match criteria are intended to improve the accuracy of the duplicate aid detection system and reduce the number of documents being sent to the county.

III. BIRTH DATE AND FIRST NAME MATCH:

As a result of a study by the Office of the Auditor General, completed in February, 1984, an additional duplicate aid match will be conducted, effective with the January-March, 1984 process quarter (the material you received in September, 1984), using the following criteria:

A case will be considered matched when two or more members of the FBU within two or more cases have:

- A. The same Date of Birth (DOB exact);
- B. The same first three characters of the first name;
- C. The two like individuals have different Social Security Numbers (SSN).
(Those cases with duplicate SSNs will be returned to the county via the normal duplicate aid process.)

A case will be considered a duplicate and will be reported back to the county when a match occurs using the above match criteria and when the same type of aid has been received for three consecutive months of the process quarter.

Note: The phrase "Types of Aid Received" refers to AFDC, Food Stamp, and/or General Relief, etc. as reported by the county (Position 162-173 on the computer tape record or on the GEN 1135T or GEN 1135). This will be used as a criteria to determine if a recipient is receiving duplicate benefits. The cases identified by this process will be reviewed by State staff to determine if the case warrants referral to the Special Investigative Unit.

IV. FOUR PRIOR QUARTER EARNINGS:

Based on several requests from counties, we will be implementing, effective with the April-June, 1984 process quarter (the material you receive in December, 1984), a process by which counties can request earnings information on an individual for the four quarters preceding the current process quarter. This sub-system will provide a cost-effective way for counties to request data on new applicants or on restorations to determine if there is or has been a connection with the labor force.

Presently, the sub-system will only accommodate those counties which submit their input and receive their output data to the IEC/FDS on magnetic tape. Attachment "A" contains a revised county input record layout (IFD 1101), showing position 183 as the "Co-Prior-Wage-Request" indicator location. If the prior four quarters of wages are to be requested on an individual, then code "1" should be placed in position 183. If this information is not desired, then the field should be left blank.

Attachment "B" contains the output record layout (IFD 320) for the additional tape file of individuals who have earnings within the prior four quarters. There will be an individual wage record for each recipient, by employer, and each wage record will contain the recipient's SSN and the 14-position county case identification as reported by the county.

Again, this information is only available to those counties which submit their input and receive their output data on magnetic tape.

V. REFUGEE CASH ASSISTANCE/ENTRANT CASH ASSISTANCE PROGRAM (RCA/ECA):

The Manual of Operations, Division 69-201, in accordance with Federal Law, requires RCA/ECA recipients to be subject to the same wage/duplicate aid verification check as AFDC recipients. Therefore, counties should submit RCA/ECA recipient information in the same format as submitted for AFDC recipients except for the "Type of Aid Received" data. A recipient of RCA/ECA should have a "1" in the "other" column of "Type of Aid Received" on the GEN 1135 or GEN 1135T for manual input counties to indicate the months RCA/ECA was received. Automated counties should enter a "1" in bytes 165, 169, and 173 of their input tapes to indicate the months a person received RCA/ECA.

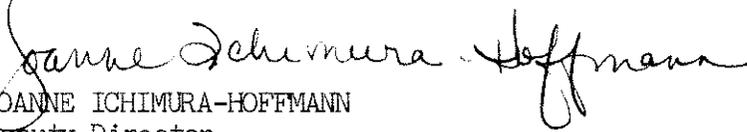
Counties are required to include RCA/ECA recipients in their input data beginning with the October-December, 1984 quarter which is due to SDSS March 1, 1985.

Activities conducted by Eligibility Workers dealing with the IEC/FDS as it relates to RCA-ECA should be time studied on the DFA 43 to the appropriate program as follows:

- o Line L - Refugee Resettlement Program (RRP) - AFDC.
- o Line M - RRP-GR and Non-AFDC (also referred to as RCA).
- o Line P - Cuban Haitian Entrant Program (CHEP) - AFDC.
- o Line Q - CHEP-GR and Non-AFDC.

Should you have any questions concerning the IEC/FDS, contact Ken Worman or Gary Scriven of the Fraud Program Management Bureau at (916) 924-2836. Questions regarding the RCA/ECA time study instructions should be directed to the Fiscal Policy and Procedure Bureau at (916) 445-7046.

Sincerely,



JOANNE ICHIMURA-HOFFMANN
Deputy Director
Management Systems and
Evaluation Division

Attachments

cc: CWDA

Program: IFD110 File Element Definition for DSN: BP.IFD1101.COUNTY.INPUT

System: INTEGRATED FRAUD DETECTION (IFD) File Name: IFD COUNTY INPUT

Record Format: FIXED Record Length: 0256 Record/Include Name: IFDCO

* A=Alphabetic, B=Binary, AN=Alphanumeric, DN=Display Numeric, PN=Packed Numeric Revision Date: 07/21/8

Data Element Name	Field Num.	Start Pos.	End Pos.	Field Size	Usage*	Sort Seq.	Extended Description
CO-SSN		1	9	9	DN		SOCIAL SECURITY NUMBER
CO-CASE-ID					AN		CASE IDENTIFICATION
CO-COUNTY		10	11	2			
CO-AID		12	13	2			
CO-SERIAL		14	20	7			
CO-FBU		21	21	1			
CO-PERS-NO		22	23	2			
CO-EFF-DATE					DN		EFFECTIVE DATE OF AID
CO-EFF-YY		24	25	2			YEAR
CO-EFF-MM		26	27	2			MONTH
CO-EFF-DD		28	29	2			DAY
CO-DOB					DN		DATE OF BIRTH
CO-DOB-YY		30	31	2			YEAR
CO-DOB-MM		32	33	2			MONTH
CO-DOB-DD		34	35	2			DAY
CO-SEX		36	36	1	AN		SEX

Program: IFD110 File Element Definition for DSN: BP.IFD1101.COUNTY.INPUT
 System: INTEGRATED FRAUD DETECTION (IFD) File Name: IFD COUNTY INPUT
 Record Format: FIXED Record Length: 0256 Record/Include Name: IFDCO

* A=Alphabetic, B=Binary, AN=Alphanumeric, DN=Display Numeric, PN=Packed Numeric Revision Date: 07/21/83

Data Element Name	Field Num.	Start Pos.	End Pos.	Field Size	Usage*	Sort Seq.	Extended Description
CO-RECIPI-NAME					AN		RECIPIENT NAME
CO-RECIPI-LNM		37	51	15			RECIPIENT LAST NAME
CO-RECIPI-FNM		52	61	10			RECIPIENT FIRST NAME
CO-RECIPI-MI		62	62	1			RECIPIENT MIDDLE INITIAL
CO-OPT-NAME		63	88	26	AN		OPTIONAL "CARE OF", "PAYEE", OR (not formatted)
CO-RECIPI-ADDRESS					AN		RECIPIENT ADDRESS
CO-RECIPI-ADDRESS-LINE1		89	109	21			
CO-RECIPI-ADDRESS-LINE2		110	130	21			
CO-RECIPI-ADDRESS-CITY		131	145	15			
CO-RECIPI-ADDRESS-ZIP-1-5		146	150	5			
CO-RECIPI-ADDRESS-ZIP-6-9		151	154	4			
CO-GROSS-EARNED-INCOME		155	161	7	DN		(\$\$\$\$\$)
CO-AID-TYPES-RECEIVED					DN		AID TYPES RECEIVED DURING QUARTER ('1' = YES, '0' = NO)
CO-AID-MONTH-3							THIRD MONTH OF QUARTER (e.g. MAR)
CO-AID-MONTH-3-AFDC		162	162	1			

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Data Element Name	Field Num.	Start Pos.	End Pos.	Field Size	Usage*	Sort Seq.	Extended Description
CO-AID-MONTH-3-FS		163	163	1			
CO-AID-MONTH-3-GR		164	164	1			
CO-AID-MONTH-3-OTHER		165	165	1			
CO-AID-MONTH-2							SECOND MONTH OF QUARTER (e.g. FEB)
CO-AID-MONTH-2-AFDC		166	166	1			
CO-AID-MONTH-2-FS		167	167	1			
CO-AID-MONTH-2-GR		168	168	1			
CO-AID-MONTH-2-OTHER		169	169	1			
CO-AID-MONTH-1							FIRST MONTH OF QUARTER (e.g. JAN)
CO-AID-MONTH-1-AFDC		170	170	1			
CO-AID-MONTH-1-FS		171	171	1			
CO-AID-MONTH-1-GR		172	172	1			
CO-AID-MONTH-1-OTHER		173	173	1			
CO-COUNTY-USE		174	181	8	AN		COUNTY USE
CO-CASE-DATA-LOW-ORDER-FBU		182	182	1	AN		CASE DATA COUNTIES ONLY
CO-PRIOR-WAGE-REQUEST		183	183	1	AN		INDICATES WHETHER THE COUNTY

ATTACHMENT A

Program: IFD110 File Element Definition for DSN: BP.IFD1101.COUNTY.INPUT Date: 07/17/84 Page 4

System: INTEGRATED FRAUD DETECTION (IFD) File Name: IFD COUNTY INPUT

Record Format: FIXED Record Length: 0256 Record/Include Name: IFDCO

* A=Alphabetic, B=Binary, AN=Alphanumeric, DN=Display Numeric, PN=Packed Numeric Revision Date: 07/21/83

Data Element Name	Field Num.	Start Pos.	End Pos.	Field Size	Usage*	Sort Seq.	Extended Description
							IS REQUESTING WAGE DATA FOR THE 4 QUARTERS PRIOR TO THE CURRENT PROCESS QUARTER 1- COUNTY REQUESTS ADDITIONAL WAGE DATA
FILLER		184	256	73	AN		BLANK- COUNTY DOES NOT REQUEST ADDITIONAL WAGE DATA SPACES

System: INTEGRATED FRAUD DETECTION File Name: IFD WAGE507 REQUEST

Record Format: FIXED Record Length: 200 Record/Include Name: IFD WAGE507 RECORD

* A=Alphabetic, B=Binary, AN=Alphanumeric, DN=Display Numeric, PN=Packed Numeric Revision Date: 07/19/84

Data Element Name	Field Num.	Start Pos.	End Pos.	Field Size	Usage*	Sort Seq.	Extended Description
IFD-CASE-ID					AN		CASE IDENTIFICATION
IFD-COUNTY		1	2	2			
IFD-AID		3	4	2			
IFD-SERIAL		5	11	7			
IFD-FBU		12	12	1			
IFD-PERS		13	14	2			
IFD-FBU-LO-ORDER		15	15	1			BYTE 2 FBU 'CASE-DATA' ONLY
IFD-SSN		16	24	9	DN		RECIPIENTS SSN
EMPLOYER-DATA					AN		DATA FROM EDD FILE
ACCNT-NO		25	31	7			EMPLOYER ACCOUNT NUMBER
BR-NO		32	33	2			EMPLOYER BRANCH NUMBER
EMPLOYER-NAME		34	43	10			EMPLOYER NAME
EMPLOYER-ADDRESS					AN		FOUR LINES OF ADDRESS
DBA		44	73	30			DOING BUSINESS AS
COF		74	103	30			CARE OF
ST		104	125	22			STREET ADDRESS

ATTACHMENT B

Program: IFD320 File Element Definition for DSN: BP.IFD320.WAGE507.8QUARTER Date: 08/28/84 Page 2

System: INTEGRATED FRAUD DETECTION File Name: IFD WAGE507 REQUEST

Record Format: FIXED Record Length: 200 Record/Include Name: IFD WAGE507 RECORD

* A=Alphabetic, B=Binary, AN=Alphanumeric, DN=Display Numeric, PN=Packed Numeric Revision Date: 07/19/84

Data Element Name	Field Num.	Start Pos.	End Pos.	Field Size	Usage*	Sort Seq.	Extended Description
ADD-4							ADDRESS LINE FOUR
CITY		126	139	14			CITY
STATE		140	141	2			STATE
ZIP		142	146	5			ZIP
ZIP-FILLER		147	150	4			RESERVED FOR 9 DIGIT ZIP
EMPLOYEE-NAME					AN		WAGE ABSTRACT EMPLOYEE NAME
LNM		151	156	6			EMPLOYEE LAST NAME
FNI		157	157	1			EMPLOYEE FIRST NAME INITIAL
CURRENT-QTR-WAGE		158	164	7	DN		WAGES FOR CURRENT PROCESS QUARTER
1ST-PRIOR-QTR-WAGE		165	171	7	DN		WAGES FOR QUARTER IMMEDIATELY PRIOR TO THE PROCESS QUARTER
2ND-PRIOR-QTR-WAGE		172	178	7	DN		WAGES FOR QUARTER WHICH IS 2 QUARTERS PRIOR TO THE PROCESS QUARTER
3RD-PRIOR-QTR-WAGE		179	185	7	DN		WAGES FOR QUARTER WHICH IS 3 QUARTERS PRIOR TO THE PROCESS QUARTER
4TH-PRIOR-QTR-WAGE		186	192	7	DN		WAGES FOR QUARTER WHICH IS 4 QUARTERS PRIOR TO THE PROCESS QUARTER

ATTACHMENT B

Program: IFD320 File Element Definition for DSN: BP.IFD320.WAGE507.QUARTER

System: INTEGRATED FRAUD DETECTION File Name: IFD WAGE507 REQUEST

Record Format: FIXED Record Length: 200 Record/Include Name: IFD WAGE507 RECORD

* A=Alphabetic, B=Binary, AN=Alphanumeric, DN=Display Numeric, PN=Packed Numeric Revision Date: 07/19/84

Data Element Name	Field Num.	Start Pos.	End Pos.	Field Size	Usage*	Sort Seq.	Extended Description
PROCESS-QUARTER-DATE		193	195	3	DN		QUARTER DATE OF PROCESS QUARTER FOR WHICH DATA ON THIS RECORD APPLIES(YYQ)
MATCH-FLAG		196	196	1	AN		INDICATES WHETHER THE RECORD MAY HAVE CAUSED MATCHED OUTPUT 1 - YES 0 - NO
MISMATCH-FLAG		197	197	1	AN		INDICATES WHETHER THE RECORD MAY HAVE CAUSED MISMATCHED OUTPUT 1 - YES 0 - NO
FILLER		198	200	3			FOR FUTURE USE