



CDSS

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EDMUND G. BROWN JR.
GOVERNOR

August 15, 2014

ALL COUNTY INFORMATION NOTICE NO. I-46-14

TO: ALL COUNTY WELFARE DIRECTORS

SUBJECT: **TITLE XX SOCIAL SERVICES BLOCK GRANT
PRE-EXPENDITURE REPORT**

REASON FOR THIS TRANSMITTAL

- State Law Change
- Federal Law or Regulation Change
- Court Order
- Clarification Requested by One or More Counties
- Initiated by CDSS

The California Department of Social Services (CDSS) has published the “Title XX Social Services Block Grant Pre-Expenditure Report for the period of July 1, 2014 through June 30, 2015”. It summarizes Title XX expenditures, program responsibilities, and the population served. This report must be submitted annually to the federal Department of Health and Human Services. If you have any comments that require changes to this report, please respond by September 30, 2014.

This report is to be provided, upon request, to any interested public agency that may wish to provide its comments to Congress.

The report can be obtained by going to the CDSS web page at <http://www.dss.cahwnet.gov/> and clicking on CDSS Letters and Notices and then clicking on All County Information Notices.

If you have any questions or comments, please contact Robert Gonzales at (916) 654-0964.

Sincerely,

Original document signed by:

MONICA FLOWERS, Chief
Budget Bureau

Attachment

TITLE XX BLOCK GRANT Pre-Expenditure Report

July 1, 2014 through June 30, 2015



Prepared by
California Department of Social Services

California Health and Human Services Agency
Edmund G. Brown Jr., Governor

**TITLE XX SOCIAL SERVICES BLOCK GRANT
PRE-EXPENDITURE REPORT**

This report covers the period July 1, 2014 through June 30, 2015, describing the programs that will receive Title XX Social Services Block Grant (SSBG) funds, as provided for at 42 U.S.C. 1397 et seq.

Since October 1981, federal monies for social services have been given to states as a block grant for social services. In order to qualify for these funds, a state must prepare and announce an expenditure plan; this report represents that plan.

Copies of this report are being provided to all 58 County Welfare Departments (CWDs). Copies may be obtained by any member of the public, including public agencies, upon request. The report can be obtained by going to the CDSS web page at <http://www.dss.cahwnet.gov/> and clicking on CDSS Letters and Notices and then clicking on All County Information Notices. Comments concerning this report are welcome and may be addressed to:

California Department of Social Services
Budget Bureau
744 P Street, M.S. 8-14-601
Sacramento, California 95814

I. PROGRAM INTRODUCTION

The public social services system in California is administered locally by the 58 counties and is supervised by the California Department of Social Services (CDSS). This state-county system addresses the five federal service goals under Title XX through an array of social services administered by four divisions within the Department. These divisions are Children and Family Services Division, Community Care Licensing Division (CCLD), Human Rights and Community Services Division and Welfare to Work Division. Specific social services programs supervised by these divisions include Child Welfare Services (CWS), Foster Care, Community Care Licensing, Deaf Access and the California Work Opportunity and Responsibility to Kids (CalWORKs) Child Care Program.

The California Department of Education (CDE) also addresses goals under Title XX by providing Child Care services directly through several major programs in both center-based care and certificate-based programs.

The Department of Developmental Services (DDS) addresses goals under Title XX by providing services directly through seven state developmental centers and indirectly through a statewide network of 21 private, nonprofit Regional Centers.

The total level of program services available within the state is subject to yearly appropriations by the California Legislature and by Congress.

II. INTENDED USE OF BLOCK GRANT

It is the state's intent to use the Title XX SSBG funds to assist in funding benefits during the Fiscal Year (FY) beginning July 1, 2014 and ending June 30, 2015 for the following programs:

- CWS
- Foster Care
- Community Care Licensing
- Deaf Access
- CalWORKs Child Care
- CDE Child Care Programs
- DDS Regional Center Program

This proposal outlines state SSBG services consistent with the five statutory goals:

- 1) Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency;

- 2) Achieving or maintaining self-sufficiency, including reduction or prevention of dependency;
- 3) Preventing or remedying neglect, abuse or exploitation of children and adults unable to protect their own interests or preserving, rehabilitating or reuniting families;
- 4) Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care or other forms of less intensive care;
- 5) Securing referral or admission for institutional care, when other forms of care are not appropriate for providing services to individuals in institutions.

Appendix A identifies the federal goals that are met by each program funded by Title XX of the Social Security Act.

III. Pre-Expenditure Reporting Form

The Pre-Expenditure Reporting Form contains a fiscal display detailing intended expenditures of the Title XX SSBG funds by program as well as program funding from other sources. It also contains projected caseloads for all Title XX program areas.

The dollar amounts listed reflect California's anticipated reduced grant award in accordance with the federal Budget Control Act of 2011. This statute does not offer flexibility for SSBG; hence the overall payments for the federal fiscal year are expected to be reduced by seven and three-tenths percent as compared to the regular grant award.

IV. ASSURANCES

CDSS, CDE and DDS assure that these programs have been and will continue to be administered in compliance with 45 Code of Federal Regulations, Parts 80, 84, 86, 90 and 91 so that no person shall on the grounds of race, color, national origin, age, sex or condition of physical or mental disability be excluded from participation in, be denied the benefits of or otherwise be subjected to discrimination under this program.

V. DEFINITION OF "ADULT" AND "CHILD"

The definition of "adult" is a person who is eighteen years of age or older. The definition of "child" for most programs is a person who is under eighteen years of

age. For the purposes of foster care services to children, “child” also includes the following:

- A “nonminor” dependent who is a foster child between the ages of 18 and 21 and meets the criteria in subdivision (v) of Section 11400 of the Welfare and Institutions Code (W&IC);
- A “child with special health needs,” who is a child or a person who is 22 years of age or younger and meets the criteria specified in subdivision (a) of Section 17710 of the W&IC.

VI. UNIFORM DEFINITION OF SERVICES

SSBG SERVICE CATEGORY	CALIFORNIA SERVICE CATEGORY
Day Care – Adults	<ul style="list-style-type: none"> • Community Care Licensing
Day Care – Children	<ul style="list-style-type: none"> • CDE – Child Care • CalWORKs – Child Care • Community Care Licensing
Foster Care Services – Children	<ul style="list-style-type: none"> • Child Welfare Services • Foster Care • Community Care Licensing
Foster Care Services – Adults	<ul style="list-style-type: none"> • Community Care Licensing
Special Services – Disabled	<ul style="list-style-type: none"> • DDS Regional Centers • Deaf Access

VII. PROGRAM OUTLINES

The following section describes the individual program areas. Each program description includes the types of activities to be supported and the categories and characteristics of the individuals to be served.

CHILD WELFARE SERVICES

A. Intent

The intent of CWS is specified in W&IC Section 16501. Services are provided to Family Maintenance, Family Reunification (FR), Permanency Planning (PP) and Supported Transition (ST) cases to achieve the goals specified in the child's case plan. FR cases receive services to enable a child and family to ameliorate the problems that led to the child's removal, so the child may return home. In instances where a child cannot return home, alternate permanency is sought. Services are provided to PP cases to achieve an alternate permanent placement for the child, such as guardianship or adoption. Services are provided to cases in ST to assist young adults, under age 21, prepare for a successful adulthood.

B. Service Delivery

Services to FR and PP cases are provided to a child and family based on an assessment of the child's and family's needs and specified in a case plan. This case plan results from a court order or a voluntary placement agreement between a family and a county agency. The focus of the services provided by the county agency is to ameliorate the problems that led to a child's removal, so the child may be reunited with his or her family or to provide services to assist a child in finding a permanent family and thus exit foster care.

C. Recipient Characteristics

From November 2012 through October 2013, a monthly average of 55,400 children received services as part of FR or PP cases. A majority of the children receiving these services were removed from their families because of child abuse or neglect.

D. Program Eligibility

All children and young adults who are adjudged court dependents or who are voluntarily placed by their families receive services under CWS. The amount of Title XX expenditures is calculated based on the amount of General Fund (GF) attributable to the FR and PP caseload. These would be GF services costs for only those foster care cases where the child is placed out of home, and placement and care is the responsibility of the CWD or County Probation Department. The child must be placed in a relative or nonrelative extended family member home, a guardian home, Foster Family Home (FFH), a certified home of a Foster Family Agency (FFA), a Group Home (GH), a Small Family

Home (SFH), a Community Treatment Facility (CTF), a tribally approved home as appropriate or with a Transitional Housing Placement Provider (THPP) which has been licensed or approved by the state, but shall not include detention facilities. In addition to the placement options for children, a young adult may be placed in a Supervised Independent Living Placement (SILP) or a Transitional Housing Provider-Foster Care (THP+FC). The 1996 Aid to Families with Dependent Children income standards must be met as outlined in All County Letter No. 98-01.

E. Program Components or Contents

The following is a partial list of services which may be provided:

- Counseling
- Emergency Shelter Care
- Respite Care
- Parenting Training
- Substance Abuse Testing
- Transportation

These services are typically purchased by the county from community-based service providers.

FOSTER CARE

A. Intent

The Foster Care Program, in accordance with the provisions of Title IV-E, Section 472, of the Social Security Act, provides program support for the board and care of abused, neglected and exploited children who cannot remain at home. This program is delineated in the state's Title IV-E plan. Eligible children may be placed in relative or nonrelative extended family member homes, guardian homes, tribally approved homes or any licensed community care facility such as FFHs, certified family homes of FFAs, GHs, SFHs, CTFs or with THPPs. In addition to the placement options for children, young adults also may be placed in a SILP or THP+FC. Also part of the system are foster care programs in development pursuant to Title IV-E agreements with the Karuk and the Yurok tribes. As described below, Title XX funds are used to provide services to children placed in FFAs and GHs whose needs exceed what is provided by the funding for care and supervision through Title IV-E.

B. Service Delivery

Foster Care rates pay not only for the board and care of a child or young adult in out-of-home care but also for those additional services that have been identified for those children in FFAs and GHs. These children have more intensive needs than children placed in the home of a relative, a FFH or the home of a guardian. These more intensive needs require a higher level of service including counseling and alcohol and substance abuse services. The services received by children placed in GHs are paid for in accordance with the provisions of the Aid to Families with Dependent Children-Foster Care (AFDC-FC) standardized Rate Classification Level (RCL) System.

The dollar amount of the RCL is determined by the level of services provided by the program to children in their care and based on a standardized schedule of rates. Title XX funds are used to fund some non-IV-E-eligible services costs to provide additional levels of foster care services within GHs and FFAs that will help facilitate the child's return home, lead to other permanency options, such as adoption or guardianship, or reduce the level of care necessary for the child.

All of the services provided are geared toward ameliorating those issues of cause and effect that have led to the child being placed in out-of-home care. The level of maintenance payment for a child in a FFH is established by statute. Rates for FFAs and GHs are set by CDSS in accordance with statutes and regulations.

C. Recipient Characteristics

Based on FY 2012-13 data, approximately 61,000 children and young adults are currently in out-of-home care, of which approximately 33,600 are federal AFDC-FC recipients, 17,400 are state AFDC-FC recipients, and 10,000 are non-AFDC-FC recipients. Every child or young adult that receives an AFDC-FC payment has been determined to be either a dependent of the court (as defined by W&IC Section 300) or a ward of the court (as defined by W&IC Sections 601/602).

D. Program Eligibility

Children and young adults under the age of 21 placed in out-of-home care may be eligible for federal AFDC-FC: (1) based on the home of removal or (2) the home of a relative, if the county has information that the child resided with any other relative during the petition month or within any of the six months prior to the month in which the petition was filed or the voluntary placement agreement was signed. There must be a voluntary placement agreement or a court order removing the child from their home, and the court order must find that continuation in the home would be contrary to the welfare of the minor and that reasonable efforts were provided to prevent removal. The child's placement and care must be the responsibility of the CWD or the County Probation Department. The child or young adult must be placed in a FFH, a guardian home, a certified home of a FFA, a GH, transitional housing program or SILP which has been licensed or approved by the state or county but shall not include detention facilities.

E. Program Components or Contents

The following is a partial list of services which may be provided while a child is in out-of-home care:

- The board and care of the child including food, clothing, shelter, daily supervision, school supplies, personal incidentals and liability insurance with respect to the child
- Social work services
- Foster parent training
- Transportation of the child
- Reasonable administration costs

Title IV-E foster care funds are restricted in use to the reimbursement of out-of-home board and care costs only and may not be used for other services. Title XX funds are used to reimburse some of the GH and FFH provider services costs for children with high level foster care service needs, in order to help

reunify the child with their family, reduce the level of care necessary or lead to other permanency options such as adoption or guardianship.

COMMUNITY CARE LICENSING

A. Intent

The CCLD is a regulatory enforcement program with the responsibility of protecting the health and safety of children and adults residing or spending a portion of their time in out-of-home care. The program includes prevention, compliance and enforcement components.

Licensing activities are performed by both state and county staff. State regional offices license facilities in 16 licensing categories. The CCLD has also entered into Memorandums of Understanding with county agencies throughout the state to assume licensing responsibilities for Family Child Care Homes (FCCHs) and FFHs in their local areas.

Legislative/Statutory Authority

Health and Safety Code, sections 1509 (Community Care Facilities Act), 1568.02 (Residential Care Facilities for the Chronically Ill Act), 1569.11 (Residential Care Facilities for the Elderly Act) and 1596.80 (Child Day Care Facilities Act) authorize CCLD to license these programs:

Licensing Categories:

- Adoption Agencies
- Adult Day Programs
- Adult Residential Facilities
- Adult Residential Facilities for Persons with Special Health Care Needs
- Child Care Centers
- CTFs
- Crisis Nurseries
- FCCHs
- FFAs
- FFHs
- GHs
- Residential Care Facilities for the Chronically Ill
- Residential Care Facilities for the Elderly
- SFHs
- Social Rehabilitation Facilities
- THPPs

B. Service Delivery

Facilities licensed by CCLD provide care and supervision to children and adults in out-of-home care programs. The ultimate responsibility of CCLD is to protect the health and safety of children and adults who require care in these programs because of age, physical disability or mental disability. This is accomplished through the three components of the program:

- **Prevention:** the reduction of predictable harm by screening out unqualified applicants and by providing applicants and licensed providers with information regarding the laws and regulations concerning the operation of CCLD facilities.
- **Compliance:** the process that ensures that CCLD facilities are operated according to applicable laws and regulations. Compliance is maintained through inspecting facilities, issuing deficiency notices and providing consultation regarding the correction of deficiencies.
- **Enforcement:** a range of corrective actions (from civil penalties to facility closures) when a provider fails to protect the health and safety of people in care or is unwilling to maintain compliance with licensing laws and regulations.

C. Recipient Characteristics

Community care was originally envisioned as a normalizing, homelike setting and the least restrictive environment for clients. In recent years, the program has expanded to include care for persons who have severe behavior adjustment problems, serious mental disorders and incidental medical needs. Many more clients with higher care needs require higher levels of medical care or psycho-social services.

The population cared for in facilities licensed by CCLD regional offices and contracted counties require care and supervision because of age, physical disability or mental disability. In FY 2014-15, caseload projections indicate there will be 24,974 licensed residential programs and 47,996 child day care programs with a combined capacity of 1,402,059 children and adults.

D. Program Eligibility

Community care facilities provide care and supervision to children and adults who reside or spend a portion of their time in out-of-home care. Facilities licensed by CCLD must meet minimum licensing standards in order to maintain the privilege of providing care and supervision to children and adults.

E. Program Components or Contents

CCLD licensing categories include:

- Adoption Agencies provide adoptive services, placement of children for adoption and supervision of the adoptive placements. Caseload projections for FY 2014-15 estimate there will be 117 such facilities.
- Adult Day Program Facilities provide a variety of social and support services for elderly adults in a day care setting. Caseload projections estimate that there will be 970 of these programs in California serving 53,423 clients in FY 2014-15.
- Adult Residential Facilities (ARFs) provide 24-hour nonmedical care for adults aged 18 to 59. Caseload projections for FY 2014-15 estimate that there will be 5,256 facilities serving over 39,381 clients.
- ARFs for Persons with Special Health Care Needs provide 24-hour services for up to five adults with developmental disabilities and who have special health care and intensive support needs. Caseload projections for FY 2014-15 are included in the ARF total.
- Child Care Centers (CCCs) provide less than 24-hour per day nonmedical care and supervision for children in a group setting. Caseload projections for FY 2014-15 estimate there will be 14,574 licensed CCC programs caring for over 756,475 children.
- Community Treatment Facilities provide 24-hour care and mental health treatment services to children in a group setting and have the capacity to provide secure containments to seriously emotionally disturbed children. Caseload projections for FY 2014-15 estimate there will be two facilities serving 62 clients.
- Crisis Nurseries provide short-term, 24-hour, nonmedical residential care and supervision for children under six years of age who are either voluntarily placed for temporary care by a parent or legal guardian due to a family crisis or stressful situation for no more than 30 days. Caseload is very small and is included in the GH total.
- FCCHs provide care, protection and supervision for 14 or fewer children, in the licensee's own home, for periods of less than 24 hours per day. Caseload projections estimate there will be 33,422 licensed FCCHs in FY 2014-15 serving 336,599 children. About two percent of the FCCH programs are licensed by the counties under contract with CCLD.
- Foster Family Agencies recruit foster parents, certify and monitor homes for use by the agency, provide professional support to foster parents and arrange

placement of children. Caseload projections estimate there will be 417 licensed FFAs in FY 2014-15.

- Foster Family Homes provide 24-hour care in the licensee's family residence for not more than six foster children. A FFH may provide 24-hour care for up to eight foster children, for the purpose of placing siblings or half siblings together, if certain conditions are met. In FY 2014-15, caseload projections estimate there will be 9,348 licensed FFHs in California serving over 22,422 children. Approximately 69 percent of FFHs are licensed by the counties through contract with CCLD.
- Group Homes provide 24-hour nonmedical care and supervision to children in a structured environment. Caseload projections for FY 2014-15 estimate there will be 1,087 GH facilities serving over 13,827 clients.
- Residential Care Facilities for the Chronically Ill provide 24-hour care and supervision for adults who have Acquired Immune Deficiency Syndrome (AIDS) or the Human Immunodeficiency Virus as well as adults receiving licensed hospice care. Caseload projections for FY 2014-15 estimate there will be 19 facilities serving 291 clients.
- Residential Care Facilities for the Elderly (RCFE) provide nonmedical care (medical care may be provided under certain conditions) to persons over 60 years of age. Caseload projections for FY 2014-15 estimate there will be 7,574 RCFEs serving 175,986 residents.
- Small Family Homes provide 24-hour care in the licensee's family residence for six or fewer foster children who have mental disorders or developmental or physical disabilities and who require special care and supervision as a result of their disabilities. Caseload projections for FY 2014-15 estimate that there will be 145 such facilities serving 617 clients.
- Social Rehabilitation Facilities (SRFs) provide 24-hour, nonmedical care and supervision in a group setting to adults recovering from mental illness. Caseload projections estimate there will be 102 SRFs in FY 2014-15 serving approximately 1,282 clients.
- Transitional Housing Placement (THP) provides transitional housing opportunities to foster children at least 17 years of age who are under the supervision of a county welfare or county probation department and are participating in an independent living program. Caseload projections for FY 2014-15 estimate there will be 67 licensed THPs with a capacity of 1,694 clients.

To ensure that CCLD facilities are operated according to applicable laws and regulations, CCLD is required to:

- Review, approve or deny applications for a facility license.
- Secure criminal record clearances on applicants, owners, staff and non-client adult residents of facilities prior to contact with clients.
- Investigate complaints against facilities and serious incidents.
- Develop and enforce regulations to protect client health, safety and human rights.
- Annually visit and evaluate licensed facilities that have been deemed by CCLD, because of their compliance history, as requiring closer monitoring, and all facilities caring for developmentally disabled clients under the Home and Community Based Waiver. This equates to approximately ten percent of all licensed facilities. In addition, a 30 percent random sample of the remaining facilities (90 percent) is also visited annually.
- Monitor facilities for compliance with licensing laws and regulations.
- Pursue enforcement actions against facilities in violation of licensing laws and regulations.

DEAF ACCESS PROGRAM

A. Intent

The Deaf Access Program (DAP) was created in 1980 to ensure that state and local government programs are routinely adapted to meet the communication needs of the 3.3 million people in California who are deaf and hard of hearing and to enable them and their families to receive all the benefits and services to which they are entitled. W&IC Section 10621 mandates that a minimum of seven service categories be provided to ensure that not only will the communication needs of deaf and hard of hearing persons be addressed, but that combined with additional social services, this program of comprehensive services will help deaf and hard of hearing persons achieve and maintain self-sufficiency and independence.

B. Service Delivery

The social services provided through DAP include, but are not limited to, the following services:

- Communication Services
- Counseling
- Advocacy Services
- Independent Living Skills Instruction
- Job Development and Placement
- Information and Referral
- Community Education

The DAP services are provided through a statewide network of eight regional, nonprofit corporations contracted and supervised by the state through CDSS' Office of Deaf Access. Pursuant to W&IC Section 10624, the state, in awarding DAP service contracts, gives special consideration to the extent in which deaf and hard of hearing persons are included in the service provider's staff and on the Boards of Directors. During the initial two-year demonstration project for DAP, this model of a program which may be termed "of, by, and for the deaf" was found to be more effective than the traditional medical model where hearing professionals accomplish these goals.

The total level of DAP services available within the state is subject to yearly appropriations by the California Legislature and by Congress. Current appropriations allow at least some services to all of California's 58 counties.

Service providers also assist public agencies in meeting their legal obligation of maintaining equal communication access to public programs and services by

helping public agencies find qualified sign language interpreters and captioners to fill the needs of these public agencies. Under W&IC Section 10626.5, public agencies then reimburse the service providers for their actual costs for this communication assistance service.

C. Recipient Characteristics

The DAP provides services to approximately 226,000 deaf and hard of hearing persons in California. Program data indicates that approximately 56 percent of DAP service recipients are deaf. Another ten percent describe themselves as hard of hearing, and 34 percent of the clients describe themselves as hearing.

Recipients include children, adults and families where deafness or other type of hearing loss is involved. The Rubella epidemic of 1964-1965 resulted in several hundred adult clients today who have multiple handicaps in addition to their deafness. The refugee and immigrant population also includes deaf or other hard of hearing individuals who must learn a new language (in this case, American Sign Language) as their native sign language is not widely used in this country.

The fastest growing portions of the DAP client population in California include persons over the age of 65 who are experiencing hearing loss for the first time in their lives, the Hispanic population which often relies on Mexican Sign Language to communicate and the refugee population which often needs to first learn American Sign Language to communicate.

D. Program Eligibility

There are no income eligibility requirements in DAP. Services are available to anyone with any degree of hearing loss and also to hearing individuals or agencies that have any concerns about deafness or hearing loss.

E. Program Components or Contents

The following is a listing and description of the services that make up DAP. These services are contained in W&IC Section 10621 and Section 65-101.4 of the CDSS Manual of Policies and Procedures:

- Communication Services: provides qualified sign language interpreters to meet a client's or agency's needs. Also provides emergency 24-hour, seven days a week, sign language services to meet medical, legal or civil emergencies. In addition, provides translation of documents for deaf clients with low language skills.

- Counseling: provides intervention in crisis situations, such as spousal, child or adult abuse. Also teaches clients how to effectively cope with deafness or hearing loss.
- Advocacy Services: provides assistance in crisis situations by intervening to ensure all public services - including social, health and safety services - are available to the deaf and hard of hearing population. Also includes intervention to protect deaf children's communication rights.
- Independent Living Skills Instruction: assists deaf clients in acquiring skills to live independent of public institutions and programs.
- Job Development and Placement: assists deaf clients in obtaining employment related services.
- Information and Referral: directs clients to appropriate organizations and/or programs for social and health care needs. Also answers questions about deafness or hearing loss.
- Community Education: increases public awareness and understanding of deaf and other hard of hearing people's needs. Also addresses health and safety issues because of deafness.

CalWORKs CHILD CARE

Title XX funds are used for CalWORKs Child Care. This provides potential eligibility for for-profit child care centers serving children from both current and former CalWORKs families to participate in the Child and Adult Care Food Program (CACFP). CACFP is a federal program providing low-income families with healthy meals and snacks in child and adult care facilities.

In the past, for-profit child care centers were not eligible for CACFP, due to a federal law that requires at least 25 percent of their enrolled children receive Title XX subsidies. Using Title XX funds in CalWORKs child care would allow eligible for-profit child care centers to participate in the program and provide more nutritious meals to children in their care.

A. Intent

Public Law 104-193, enacted in August 1996, replaced the AFDC and the Job Opportunity and Basic Skills programs with the Temporary Assistance to Needy Families (TANF) program and provided the TANF Block Grant to fund the program at the state and local level. Assembly Bill 1542 instituted the CalWORKs program on January 1, 1998. An integral component of the CalWORKs program is the three-stage child care system that was developed to assist CalWORKs recipients with attaining self-sufficiency by ensuring the provision of child care services for employment or participation in Welfare-to-Work (WTW) activities. W&IC Section 11323.2 specifies that necessary supportive services, including child care, shall be available to every CalWORKs participant to allow participation in the program activity to which she/he is assigned or to accept employment.

B. Service Delivery

Child care services are provided to CalWORKs recipients through a three-stage system. Stage One is administered by CDSS through the CWDs. The CDE currently administers Stages Two and Three through contracts with Alternative Payment Programs (APP) at the local level. Approximately 38 counties, representing 57 percent of the Stage One population, contract with APPs to implement Stage One.

The CalWORKs Child Care program respects parental choice. Parents have the right to choose the provider who meets their needs and will be reimbursed up to the 85th percentile of the market rate in the region, calculated based on a regional market rate survey conducted every two years. Recipients who choose a

provider with a rate higher than the maximum allowable rate will pay the difference.

C. Recipient Characteristics

A study by Policy Analysis for California Education (PACE) found that CalWORKs participants who use subsidized child care are more likely to be English-speaking, have younger children and are more likely to choose license-exempt providers, such as family members and relatives. This PACE study is based on focus group findings from the counties of Alameda, Kern and Los Angeles in 2000. The reasons included for choosing exempt providers are trust, flexibility in scheduling and payments and respect for the parents' child rearing practices.

The Growing Up in Poverty (GUP) study found similar characteristics among CalWORKs participants who use child care subsidies. These clients tend to be English-speaking, have little or no social support, live in more impoverished neighborhoods and may have more children. The GUP study interviewed 410 CalWORKs families in San Francisco and Alameda counties in 1998.

D. Program Eligibility

Child care services are available to all CalWORKs recipients that are employed or participating in approved WTW activities. Eligibility for child care services is based on a family's eligibility for a CalWORKs grant and the family's need for child care.

Former CalWORKs recipients may receive up to 24 months of child care in Stages One and Two from the date they leave cash aid as long as they remain otherwise eligible. Former recipient families must have income below 70 percent of the state median income as adjusted for family size; children must meet the age eligibility requirement and the parent must have a need for child care. A need for child care may include, among other things, employment, training and education.

E. Program Components or Contents

Current and former CalWORKs recipients may choose any one of the following types of child care:

- Licensed center-based care
- Licensed family day care home
- License-exempt care

**CALIFORNIA DEPARTMENT OF EDUCATION
CHILD CARE PROGRAMS**

A. Intent

Pursuant to the Education Code and the Child Care and Development Services Act, it is the intent of the Legislature that all families have access to child care and development services, through Resource and Referral (R&R) agencies, where appropriate, regardless of ethnic status, cultural background or special needs. The Superintendent of Public Instruction, in providing funding to child care and development agencies, will promote a range of services which will allow parents the opportunity to choose the type of care most suited to their needs.

B. Service Delivery

Child care and development programs are administered by the CDE to deliver services through several major programs in both center-based care and voucher/certificate programs. The CDE contracts with approximately 717 private and public agencies to deliver services to families that meet each program's eligibility and need criteria. The CDE also funds child care R&R agencies in all counties of California that provide child care information and referral services to all families. Specific modes of delivery include contracted child care centers, family child care home education networks and voucher/certificate programs administered by APP agencies.

C. Recipient Characteristics

The most current fiscal year of data available regarding children served in the various programs is 2012-13. In FY 2012-13, approximately 396,711 children received child development services administered by CDE's Child Development Division. Approximately 147,222 children received services in Stages Two and Three CalWORKs child care and 181,052 in the State Preschool program. Approximately 278,662 children received child development services in center-based programs and approximately 186,990 in voucher certificate APPs.¹

D. Program Eligibility

Families are eligible for services if their adjusted monthly income is at or below 70 percent of the state median income as adjusted for family size. For all programs except State Preschool, eligibility is also based on the family's need for child care. They must be employed, seeking employment or participating in an

¹ Source: CD 800A Monthly Child Care Reports for SFY 2012-13

approved job training and education program. Children are served to age 13, unless they have exceptional needs, in which case they can be served to age 21. In addition, each program may have eligibility criteria for specific population segments, such as in migrant child care and CalWORKs programs. CalWORKs families are eligible for child care services in Stage One or Stage Two, as long as they are on aid. When families stop receiving cash aid, they are eligible for up to 24 months in Stage One or Stage Two.

E. Program Components or Contents

The Budget Act of 2014 appropriated a total of \$1.8 billion for CDE child care and development direct services and R&R programs in a mix of state funds (70 percent), federal funds (30 percent) and \$10 million Title XX grant monies.

CENTER-BASED PROGRAMS:

General Child Care and Development

These are programs that utilize centers and networks of FCCHs, operated by either a public or private agency, for the provision of child care and development services. These facilities provide an educational program component that is developmentally, culturally and linguistically appropriate, as well as nutrition, parent education, staff development and referrals for health and social services.

- **FCCH Education Networks**

These networks provide care for children in a family setting and provide the same comprehensive child development services as general child care and development centers. Small FCCHs may serve up to eight children, while large FCCHs may serve as many as 14 children.

Severely Handicapped Program

These child care and development programs, located in the San Francisco Bay Area, provide care and supervision, age and developmentally appropriate activities, therapy, youth guidance and parental counseling to eligible families. The children and young adults must have an Individualized Family Service Plan or an Individualized Education Program issued through Special Education programs.

Migrant Child Care

The center-based migrant child care programs serve children of agricultural workers while their parents are at work. The centers are open for varying lengths of time during the year, depending largely on the agricultural-related activities in the area. In addition to centers, the Budget includes funding for the Migrant Alternative Payment Network Program that allows eligibility and funding for

services to follow migrant families as they move to find work in the Central Valley.

California State Preschool Program

The California State Preschool Program (CSPP) provides child development services for three and four year-old children from low-income families. The program emphasizes parent education and encourages parent involvement. In addition to basic preschool education activities, other components include health, nutrition, social services and staff development. These programs are administered through local educational agencies, colleges, community action agencies, and private nonprofit agencies. The CSPP contractors have the flexibility of offering part-day, full-day or both types of services.

APP - VOUCHERS/CERTIFICATES:

APP

The APPs offer parents an array of child care arrangements, including in-home care, family child care and center care. The APP helps families arrange child care services and makes payment for those services directly to the child care provider selected by the family. The APP is intended to increase parental choice and to accommodate the individual needs of the family. Besides assisting parents in making informed child care choices, APP is also responsible for providing parenting information and professional and technical assistance to providers. Some CWDs are among the contractors under this program. The APP also administers Stage Two of CalWORKs Child Care and some contract with CWDs to administer Stage One.

Non-CalWORKs APP

These APPs provide child care payments for low-income families who meet need and eligibility requirements for non-CalWORKs child care programs.

CalWORKs Child Care

CalWORKs participants are required to engage in work and/or work preparation activities. Participants are provided with an array of welfare-to-work services, including child care subsidies.

- **Stage One** is administered by CDSS through CWDs and begins when a participant enters the CalWORKs grant program. The CWDs refer families to child care R&R agencies to assist them in finding child care providers. The CWDs or their contracted APPs pay those providers directly for the services performed. When families in Stage One choose license-exempt providers, the payments are made directly to the parent.
- **Stage Two** is administered by CDE through its APP. CalWORKs grant families are transferred into Stage Two when they are deemed “stable”

according to each county's definition of "stable." Participation in Stage One and/or Two is limited to two years after the family stops receiving a CalWORKs grant. A small portion of the services in this stage is administered directly by California community colleges through centers or an APP delivery system for the benefit of CalWORKs recipients who are their students.

Resource and Referral (R&R)

R&R programs provide information to all parents and the community about the availability of child care; assist potential providers in the licensing process; provide direct services, including training and coordinate community resources for the benefit of parents and local child care providers. These services are available in all 58 counties in California. The R&Rs are often co-located with CWDs to assist with the establishment of CalWORKs child care for recipients in Stage One.

**DEPARTMENT OF DEVELOPMENTAL SERVICES
REGIONAL CENTER PROGRAM**

A. Intent

It is the intent of the California Legislature that regional centers assist persons with developmental disabilities and their families in securing those services and supports which maximize opportunities and choices for living, working, learning and recreating in the community.

Regional centers are private, non-profit agencies with which DDS contracts annually to provide the services specified in the Lanterman Developmental Disabilities Services Act (W&IC Section 4500, et al.). Each regional center design reflects the maximum cost-effectiveness possible and is based on a service coordination model.

Regional centers provide services to consumers residing in the community, consumers residing in the developmental centers and consumers moving from a developmental center to the community. Moreover, the regional centers work closely with the developmental centers in an attempt to deflect admissions and to identify the least restrictive living arrangement.

Regional centers also serve vital functions in their communities. They provide information to the public and professional groups. Regional centers are responsible for the development of quality community programs and resource development. They also monitor the quality of services provided to individuals with developmental disabilities and their families and seek to improve those services through training, technical assistance and other methods.

B. Services Delivery

There are 21 regional centers that were selected based on geographic accessibility and population density. The catchment area boundaries for the regional centers conform to county boundaries or groups of counties, except in Los Angeles County, which is divided into seven areas, each served by a regional center.

Each consumer and their family, as appropriate, participate in the development of an Individual Program Plan (IPP) or an Individualized Family Service Plan (IFSP) for those under age three, which details the goals and objectives designed to meet the consumer's needs and preferences. The regional center purchases services and supports from approved vendors and accesses services from other publicly and privately funded agencies. The IPP is completed within 60 days of determining the consumer's eligibility for services and at least once

every three years. The IFSP is developed within 45 days of referral to the regional center. The IPPs and IFSPs are prepared jointly by representatives of the regional center, the consumer and the consumer's parents, the guardian or conservator (if appropriate) and other persons invited by the consumer.

Services delivered include placing and monitoring consumers in the least restrictive residential settings, securing services and supports specified in the person's IPP through purchasing or by obtaining from generic agencies or other resources, funding for family support services, coordination of service and support programs, collection and dissemination of information and monitoring implementation of the plan to ascertain that objectives have been fulfilled and to assist in revising the plan as necessary.

C. Recipient Characteristics

The developmental services system serves children and adults who have a developmental disability (mental retardation, cerebral palsy, epilepsy, autism and other related conditions) or who are at risk of having a developmental disability. The families of such individuals also receive family support services necessary to maintain their son or daughter in the least restrictive residential setting.

D. Program Eligibility

To be eligible for services, a person must have a disability that begins before the person's 18th birthday, be expected to continue indefinitely and present a substantial disability as defined in W&IC Section 4512. Eligibility is established through diagnosis and assessment performed by regional centers.

Infants and toddlers (age 0 to 36 months) who are at risk of having developmental disabilities or who have a developmental delay may also qualify for services. The criteria for determining the eligibility of infants and toddlers is specified in Section 95014 of the California Government Code. In addition, individuals at risk of having a child with a developmental disability may be eligible for genetic diagnosis, counseling and other prevention services.

The total regional center California population is estimated to be 298,242 consumers in FY 2014-15, including 1,112 consumers who reside in the developmental centers.

E. Program Components or Contents

Services delivered to consumers include case finding and outreach, intake, diagnosis and assessment, preventive services to potential parents and persons

at risk of having developmental disabilities, individual program planning advocacy, monitoring and evaluation and case management.

APPENDIX A

PROGRAM APPLICATION TO TITLE XX GOALS

STATE PROGRAM	GOAL 1	GOAL 2	GOAL 3	GOAL 4	GOAL 5
Child Welfare Services			X		
Foster Care			X	X	X
Community Care Licensing			X	X	
Deaf Access Program	X	X	X		
CaWORKs Child Care	X	X	X		
CDE Child Care Program	X	X	X		
DDS Regional Centers			X	X	

- Goal 1:** Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency.
- Goal 2:** Achieving or maintaining self-sufficiency, including reduction of prevention of dependency.
- Goal 3:** Preventing or remedying neglect, abuse or exploitation of children and adults unable to protect their own interest or preserving, rehabilitating or reuniting families.
- Goal 4:** Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care or other forms of less intensive care.
- Goal 5:** Securing referral or admission for institutional care, when other forms of care are not appropriate or providing services to individuals in institutions.

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress, in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal amendment, or modification of any federal contract, grant, loan or cooperative agreement.

(2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

California Department of Social Services

ORGANIZATION

AUTHORIZED SIGNATURE

TITLE

DATE

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER
RESPONSIBILITY MATTERS - PRIMARY COVERED TRANSACTIONS**

(1) The prospective primary participant certifies to the best of its knowledge and belief that it and its principals:

(a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any federal department or agency;

(b) have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any offenses enumerated in paragraph (l)(b) of this certification; and

(d) have not within a 3-year period preceding this application/proposal had one or more public transactions (federal, state, or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

California Department of Social Services

ORGANIZATION

AUTHORIZED SIGNATURE

TITLE

DATE

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by any entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of eighteen, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children’s services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity.

By signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children’s services and that all subgrantees shall certify accordingly.

California Department of Social Services

ORGANIZATION

AUTHORIZED SIGNATURE

TITLE

DATE

DRUG-FREE WORKPLACE CERTIFICATION

The grantee certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantees workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about:
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and,
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:
 - (1) Abide by the terms of the statement; and,
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.
- (e) Notifying the agency in writing, with ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant:
- (f) Taking one of the following actions, with 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted:
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a

federal, state, or local health, law enforcement, or other appropriate agency.

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance

The programs receiving grants have a large number of sites throughout the State of California.

California Department of Social Services

ORGANIZATION

AUTHORIZED SIGNATURE

TITLE

DATE

Part A. Estimated Expenditures and Proposed Provision Method

OMB NO.: 0970-0234

EXPIRATION DATE: 06/30/2015

STATE: CALIFORNIA	FISCAL YEAR: SFY 2014-15	REPORT PERIOD: 7/1/14-6/30/15
Contact Person: Debbie Dawson	Phone Number: 916-654-0643	
Title: Associate Budget Analyst	E-Mail Address: debbie.dawson@dss.ca.gov	
Agency: California Health and Human Services	Submission Date: August 5, 2014	

Service Supported with SSBG Expenditures	SSBG Expenditures		Expenditures of All Other Federal, State and Local funds**	Total Expenditures	Provision Method	
	SSBG Allocation	Funds transferred into SSBG*			Public	Private
1 Adoption Services						
2 Case Management						
3 Congregate Meals						
4 Counseling Services						
5 Day Care--Adults	2,200,000	0	1,100,000	3,300,000		X
6 Day Care--Children	37,200,000	173,100,000	2,001,100,000	2,211,400,000		X
7 Education and Training Services						
8 Employment Services						
9 Family Planning Services						
10 Foster Care Services--Adults	11,500,000	0	36,200,000	47,700,000	X	
11 Foster Care Services--Children	0	115,100,000	1,999,400,000	2,114,500,000	X	
12 Health-Related Services						
13 Home-Based Services						
14 Home-Delivered Meals						
15 Housing Services						
16 Independent/Transitional Living Services						
17 Information & Referral						
18 Legal Services						
19 Pregnancy & Parenting						
20 Prevention & Intervention						
21 Protective Services--Adults						
22 Protective Services--Children						
23 Recreation Services						
24 Residential Treatment						
25 Special Services--Disabled	139,000,000	77,200,000	4,459,900,000	4,676,100,000		X
26 Special Services--Youth at Risk						
27 Substance Abuse Services						
28 Transportation						
29 Other Services***						
30 SUM OF EXPENDITURES FOR SERVICES	189,900,000	365,400,000	8,497,700,000	9,053,000,000		
31 Administrative Costs						
32 SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	189,900,000	365,400,000				

* From which block grant(s) were these funds transferred? TANF

** Please list the sources of these funds: state general funds, state special funds, reimbursements

*** Please list other services: none

Part B. Estimated Recipients

OMB NO.: 0970-0234
 EXPIRATION DATE: 06/30/2015

STATE: CALIFORNIA
FISCAL YEAR: SFY 2014-15

Service Supported with SSBG Expenditures	Children	Adults			Total Adults	Total
		Adults Age 59 Years & Younger	Adults Age 60 Years & Older	Adults of Unknown Age		
1 Adoption Services						
2 Case Management						
3 Congregate Meals						
4 Counseling Services						
5 Day Care--Adults	0	42,738	10,685	0	53,423	53,423
6 Day Care--Children	1,531,572	0	0	0	0	1,531,572
7 Education and Training Services						
8 Employment Services						
9 Family Planning Services						
10 Foster Care Services--Adults	0	36,040	4,914	0	40,954	40,954
11 Foster Care Services--Children	116,400	0	0	0	0	116,400
12 Health-Related Services						
13 Home-Based Services						
14 Home-Delivered Meals						
15 Housing Services						
16 Independent/Transitional Living Services						
17 Information & Referral						
18 Legal Services						
19 Pregnancy & Parenting						
20 Prevention & Intervention						
21 Protective Services--Adults						
22 Protective Services--Children						
23 Recreation Services						
24 Residential Treatment						
25 Special Services--Disabled	157,889	133,012	12,123	139,479	284,614	442,503
26 Special Services--Youth at Risk						
27 Substance Abuse Services						
28 Transportation						
29 Other Services***						
30 SUM OF RECIPIENTS OF SERVICES	1,805,861	211,790	27,722	139,479	378,991	2,184,852